

1. NEW STUFF

**Definition 1.1.** Let  $\mathbb{A}$  be a Banach algebra and define the *amenability character* of  $\mathbb{A}$  to be the least cardinal of a family of a directed set  $\mathcal{D}$  such that

- (1) there is a mapping  $\Delta : \mathcal{D} \rightarrow \mathbb{A} \otimes \mathbb{A}$  such that for each  $a \in \mathbb{A}$   $\lim_{d \in \mathcal{D}} a\Delta(d) - \Delta(d)a = 0$
- (2) if  $\mu : \mathbb{A} \times \mathbb{A} \rightarrow \mathbb{A}$  is the natural multiplication functional then  $\{\mu(\Delta(d))\}_{d \in \mathcal{D}}$  is an approximate identity for  $\mathbb{A}$  provided that such a directed set exists at all.

**Lemma 1.1** (B. Johnston). *Let  $\mathbb{A}$  and  $\mathbb{B}$  be a Banach algebras and  $u \in \mathbb{A} \otimes \mathbb{B}$ . If there are  $\{\psi_i\}_{i=1}^k \subseteq \mathbb{A}^*$  and  $\{\varphi_i\}_{i=1}^k \subseteq \mathbb{B}^*$  such that*

$$\psi_n \otimes \varphi_m(u) = \begin{cases} 0 & \text{if } n > m \\ 1 & \text{if } n \leq m \end{cases}$$

then  $\|u\|_p \geq \ln(2k - 1)/8\pi$ .

**Proposition 1.1.** *A compact Hausdorff space  $X$  is metrizable if and only if the amenability character of  $C(X)$  is countable.*

*Proof.* If  $X$  is metrizable then it is second countable and a partition of unity argument yields that the amenability character of  $C(X)$  is countable.

On the other hand, suppose that  $X$  is not metrizable but that there is a mapping  $\Delta : \mathcal{D} \rightarrow \mathbb{A} \otimes \mathbb{A}$  witnessing that the amenability character of  $C(X)$  is countable. Let

$$\mathcal{F} = \bigcup_{d \in \mathcal{D}} \left\{ \{f_i\}_{i=1}^k \cup \{g_i\}_{i=1}^k \mid \Delta(d) = \sum_{j=1}^k f_j \otimes g_j \right\}$$

and let  $\mathcal{B} = \{f^{-1}B_r(q) \mid r \in \mathbb{Q} \text{ and } q \in \mathbb{Q}[\sqrt{-1}]\}$ . Since  $\mathcal{B}$  is not a base for  $X$  and  $X$  is compact, there must be some distinct  $x_0$  and  $x_1$  in  $X$  such that  $x_0 \in U$  if and only if  $x_1 \in U$  for all  $U \in \mathcal{B}$ .

Let  $\psi_j \in C(X)^*$  be defined by  $\psi_j(f) = f(x_j)$ . Let  $\varphi_0 = \psi_1$  and  $\varphi_1 = \psi_0 + \psi_1$ . Now, using the fact that  $X$  is regular it is possible to choose a continuous function  $w : X \rightarrow \mathbb{R}$  such that  $w(x_j) = j$ . It suffices to check that

$$\lim_{d \in \mathcal{D}} \psi_n \otimes \varphi_m(w\Delta(d) - \Delta(d)w) = \begin{cases} 0 & \text{if } n = 1 \text{ and } m = 0 \\ 1 & \text{otherwise.} \end{cases}$$

To see this let  $\epsilon > 0$  and choose  $d_0 \in \mathcal{D}$  such that  $\|\mu(\Delta(d)) - 1\|_{\text{sup}} < \epsilon$  for all  $d \geq d_0$ .

Now for  $d \geq d_0$  suppose that  $\Delta(d) = \sum_{j=1}^k f_j \otimes g_j$ . Then

$$\psi_m \otimes \psi_n(w\Delta(d) - \Delta(d)w) = \psi_m \otimes \psi_n \left( \sum_{j=1}^k w f_j \otimes g_j - \sum_{j=1}^k f_j \otimes w g_j \right) = \sum_{j=1}^k \psi_m(w f_j) \psi_n(g_j) - \sum_{j=1}^k \psi_m(f_j) \psi_n(w g_j)$$

and this implies that

$$\psi_0 \otimes \psi_0(w\Delta(d) - \Delta(d)w) = \sum_{j=1}^k f_j(x_0)g_j(x_0) - \sum_{j=1}^k f_j(x_0)g_j(x_0) = 0$$

and similarly  $\psi_1 \otimes \psi_1(w\Delta(d) - \Delta(d)w) = 0$ . Also

$$\psi_0 \otimes \psi_1(w\Delta(d) - \Delta(d)w) = \sum_{j=1}^k f_j(x_0)g_j(x_1) - \sum_{j=1}^k f_j(x_0)0 = \sum_{j=1}^k f_j(x_0)g_j(x_0)$$

because  $g_j(x_0) = g_j(x_1)$  for all  $j$ . Similarly  $\psi_1 \otimes \psi_0(w\Delta(d) - \Delta(d)w) = \sum_{j=1}^k f_j(x_1)g_j(x_1)$ . Note that  $\sum_{j=1}^k f_j(x_j)g_j(x_j) = \mu(\Delta(d)(x_j))$  and  $\lim_{d \in \mathcal{D}} \mu(\Delta(d)(x_j)) = 1$ . Hence

$$\lim_{d \in \mathcal{D}} \psi_0 \otimes \varphi_0(w\Delta(d) - \Delta(d)w) = \lim_{d \in \mathcal{D}} \psi_0 \otimes \psi_1(w\Delta(d) - \Delta(d)w) = 1$$

$$\lim_{d \in \mathcal{D}} \psi_0 \otimes \varphi_1(w\Delta(d) - \Delta(d)w) = \lim_{d \in \mathcal{D}} \psi_0 \otimes (\psi_0 + \psi_1)(w\Delta(d) - \Delta(d)w) = 1$$

$$\lim_{d \in \mathcal{D}} \psi_1 \otimes \varphi_1(w\Delta(d) - \Delta(d)w) = \lim_{d \in \mathcal{D}} \psi_1 \otimes (\psi_0 + \psi_1)(w\Delta(d) - \Delta(d)w) = 1$$

$$\lim_{d \in \mathcal{D}} \psi_1 \otimes \varphi_0(w\Delta(d) - \Delta(d)w) = \lim_{d \in \mathcal{D}} \psi_1 \otimes \psi_1(w\Delta(d) - \Delta(d)w) = 0$$

as required. □

## 2. OLD STUFF

**Question 2.1.** Given a Banach space  $B$  and the natural embedding of  $B$  into  $B^{**}$ , does the hypothesis that every element of  $B^{**}$  is in the weak\*-closure of a countable subset of  $B$  imply that  $B$  is separable?

Note that the converse is immediate since  $B$  is weak\* dense in  $B^{**}$ . Also, I guess that non-separable, reflexive  $B$  are not of interest.

**Proposition 2.1.** *If  $\kappa$  is uncountable, then  $L_1(2^\kappa)^{**}$  contains elements not in the closure of any countable subset of  $L_1(2^\kappa)$ .*

*Proof.* Recall that  $L_1(2^\kappa)^* = L_\infty(2^\kappa)$ . Let  $\mathcal{F}$  be any ultrafilter on  $2^\kappa$  containing the complement of any null set and let  $\Phi_{\mathcal{F}} \in L_\infty(2^\kappa)^*$  be defined by

$$\langle \Phi_{\mathcal{F}}, [f] \rangle = \bigcap_{A \in \mathcal{F}} \overline{f(A)}$$

where  $[f]$  denotes the  $L_\infty(2^\kappa)$  equivalence class of the function  $f$ . Note that  $\langle \Phi_{\mathcal{F}}, [f] \rangle$  does not depend on the choice of  $f$  since  $\mathcal{F}$  contains no null set.

Now suppose that  $\mathcal{L}$  is a countable subset of  $L_1(2^\kappa)$  whose weak\*-closure contains  $\Phi_{\mathcal{F}}$ . It is possible to find a countable  $\Gamma \subseteq \kappa$  and a countable family  $\mathcal{L}'$  of measurable functions on  $2^\Gamma$  such that  $\mathcal{L} = \{[g \circ \pi_\Gamma] \mid g \in \mathcal{L}'\}$  where  $\pi_\Gamma$  is the projection from  $2^\kappa$  to  $2^\Gamma$ .

Choose  $\xi \in \kappa \setminus \Gamma$  and let  $U_\xi^i = \{h \in 2^\kappa \mid h(\xi) = i\}$ . Let  $H^i$  be the characteristic function of  $U_\xi^i$ . Without loss of generality  $\langle \Phi_{\mathcal{F}}, H^i \rangle = i$ . However

$$\langle H_i, [g \circ \pi_\Gamma] \rangle = \int_{x \in U_\xi^i} g(x) d\lambda(x) = \int_{x \in 2^\Gamma} g(x) d\lambda(x)/2$$

and so  $\langle H_0, [g \circ \pi_\Gamma] \rangle = \langle H_1, [g \circ \pi_\Gamma] \rangle$ . In other words, the weak\* neighbourhood of  $\Phi_{\mathcal{F}}$  consisting of all  $\Psi$  such that  $|\langle \Psi, H_i \rangle - i| < 1/3$  contains no member of  $\mathcal{L}$ .  $\square$

**Proposition 2.2.** *If  $X$  is a compact Hausdorff space then the following are equivalent:*

- (1)  $X$  is metrizable
- (2) every element of  $C(X)^{**}$  is in the weak\*-closure of a countable subset of  $C(X)$ .

*Proof.* If  $X$  is metrizable then  $C(X)$  is separable in the norm topology and, hence, also in the weak\*-topology. Since  $B$  is always weak\*-dense in  $B^{**}$  it follows that (1) implies (2).

For the other direction, begin by recalling that  $C(X)^*$  is the space of all countably additive, Borel measures on  $X$  and this can be decomposed in the space of atomic measures and the diffuse measures. The space of atomic measures is the same as  $\ell_1(X)$  where  $X$  is considered as a discrete set. Hence  $C(X)^* = \ell_1(X) \oplus D$  and so  $C(X)^{**} = \ell_1(X)^* \oplus D^* = \ell_\infty(X) \oplus D^*$ . Hence it suffices to find a subsets  $A \subseteq X$  such that  $\chi_A$  is not in the weak\*-closure of any countable subset of  $C(X)$ .

Note that for  $\chi_A$  to be in the weak\* closure of a subset  $W \subseteq C(X)$  it must be that for any finitely many functions  $\mu_1, \mu_2, \dots, \mu_k$  in  $\ell_1(X)$  and any  $\epsilon > 0$  there is  $w \in W$  such that  $|\langle \chi_A, \mu_i \rangle - \langle \mu_i, w \rangle| < \epsilon$ . But  $\langle \chi_A, \mu_i \rangle = \sum_{a \in A} \mu_i(a)$  and  $\langle \mu_i, w \rangle = \sum_{x \in X} \mu_i(x)w(x)$ . Since the functions  $\mu_i$  are in  $\ell_1(X)$  and  $\epsilon > 0$  it must be that all but finitely many values of  $\mu_i$  are much less than  $\epsilon/\|w\|$ . Hence the following are equivalent:

- $\chi_A$  is in the weak\* closure of  $W \subseteq C(X)$
- any finitely many points  $x_1, x_2, \dots, x_n$  in  $X$

$$\left| \sum_{i=1}^n \sum_{j=1}^k \mu_i(x_j)w(x_j) - \sum_{i=1}^n \sum_{j=1}^k \mu_i(x_j)\chi_A(x_j) \right| < \epsilon$$

- (letting  $r_j = \sum_{i=1}^k \mu_i(x_j)$  in the above) for finitely many points  $x_1, x_2, \dots, x_n$  in  $X$  and scalars  $r_1, r_2, \dots, r_k$  the following holds

$$\left| \sum_{j=1}^n r_j(w(x_j) - \chi_A(x_j)) \right| = \left| \sum_{j=1}^n r_j w(x_j) - \sum_{j=1}^n r_j \chi_A(x_j) \right| < \epsilon$$

- $\chi_A$  is in the pointwise closure of  $W$ .

It will be shown that there is an  $A \subseteq X$  for which this is not true. First suppose that  $X$  is not first countable and let  $x \in X$  have no countable neighbourhood base. Given a countable family  $\mathcal{C} \subseteq C(X)$  choose for each  $n$  and  $f \in \mathcal{C}$  a neighbourhood  $U_{n,f}$  of  $x$  such that  $|f(y) - f(x)| < 1/n$  for each  $y \in U_{n,f}$ . Since  $X$  is compact and  $\{U_{n,f}\}_{n \in \mathbb{N}, f \in \mathcal{C}}$  is not a base at  $x$  it must be that  $\bigcap_{n \in \mathbb{N}, f \in \mathcal{C}} U_{n,f}$  is infinite (in fact, uncountable). Let  $A$  be any partition of  $\bigcap_{n \in \mathbb{N}, f \in \mathcal{C}} U_{n,f}$  into two infinite pieces. Then  $\chi_A$  is not in the pointwise closure of  $\mathcal{C}$ .

By Arhangel'skii's Theorem on Lindelof spaces it must be that  $X \leq 2^{\aleph_0}$  and hence  $[0, 1]^X$  has a countable dense subset  $\mathcal{F}$ . If for each  $F \in \mathcal{F}$  there is a countable family  $\mathcal{C}_F \subseteq C(X)$  containing  $F$  in its pointwise closure then let  $\mathcal{C} = \bigcup_{F \in \mathcal{F}} \mathcal{C}_F$

and note that  $\mathcal{C}$  is a countable dense subset of  $[0, 1]^X$ . Since  $X$  is compact, it follows that  $\{f^{-1}(p, q) \mid f \in \mathcal{C}, p, q \in \mathbb{Q}\}$  is a countable base for  $X$ , contradicting that it is not metrizable.  $\square$

**Corollary 2.1.** *For every  $C^*$  algebra  $\mathbb{A}$  the following are equivalent:*

- (1)  $\mathbb{A}$  is separable
- (2) every element of  $\mathbb{A}^{**}$  is in the weak\*-closure of a countable subset of  $\mathbb{A}$ .

**Proposition 2.3.** *There is a nonseparable Banach algebra  $\mathbb{B}$  such that every element of  $\mathbb{B}^{**}$  is in the weak\*-closure of a countable subset of  $\mathbb{B}$ .*

*Proof.* Let  $T : [\omega_1]^2 \rightarrow \mathbb{N}$  be a function such that for every uncountable  $X \subseteq \omega_1$  and  $n, k \in \mathbb{N}$  there is  $Y \subseteq X$  such that  $|Y| = n$  and  $T(\{\alpha, \beta\}) = k$  for all  $\{\alpha, \beta\} \in [Y]^2$ . (This is the celebrated negative partition relation of Todorćević.) Now for any  $f : \omega_1 \rightarrow \mathbb{C}$  define

$$\|f\| = \max \left\{ \left( \sum_{y \in Y} |f(y)|^k \right)^{1/k} \mid Y \subseteq \omega_1 \text{ and } T(\{\alpha, \beta\}) = k \text{ for all } \{\alpha, \beta\} \in [Y]^2 \right\}$$

and let  $\mathbb{B} = \{f : \omega_1 \rightarrow \mathbb{C} \mid \|f\| < \infty\}$ . It is routine to check that  $(\mathbb{B}, \|\cdot\|)$  is an abelian Banach algebra.

**Claim 1.** If  $f \in \mathbb{B}$  then the support of  $f$  is countable.

*Proof.* If not then choose a rational  $p > 0$  and uncountable  $X \subseteq \omega_1$  such that, without loss of generality,  $\Re(f(\xi)) > p$  for each  $\xi \in X$ . Let  $Y \subseteq X$  be such that  $T$  has constant value 1 on  $[Y]^2$  and  $|Y| > \|f\|/p$ . Then  $\|f\| \geq |Y|p > \|f\|$ .  $\square$

It is immediate that  $\mathbb{B}$  is not separable. However, let  $\mathbb{B}_\xi = \{f \in \mathbb{B} \mid (\forall \eta > \xi) f(\eta) = 0\}$  and note that each  $\mathbb{B}_\xi$  is a closed, separable subspace of  $\mathbb{B}$  and  $\mathbb{B} = \bigcup_{\xi \in \omega_1} \mathbb{B}_\xi$ .

For  $\xi \in \omega_1$  let  $\psi_\xi \in \mathbb{B}^*$  be defined by  $\langle \psi_\xi, f \rangle = f(\xi)$ .

**Claim 2.** The span of  $\{\psi_\xi \mid \xi \in \omega_1\}$  is norm dense in  $\mathbb{B}^*$ .

*Proof.* Each  $f \in \mathbb{B}_\xi$  can be approximated in norm by some  $f' \in \mathbb{B}_\xi$  with finite support.  $\square$

**Claim 3.** If  $\Psi \in \mathbb{B}^{**}$  then  $\langle \Psi, \psi_\xi \rangle = 0$  for all but countably many  $\xi \in \omega_1$ .  $\square$