

# IDEALS INDUCED BY TSIRELSON SUBMEASURES

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ABSTRACT. We use Tsirelson's Banach space ([2]) to define an  $F_\sigma$  P-ideal which refutes a conjecture of Mazur and Kechris (see [12, 9, 8]).

## 1. INTRODUCTION

By the dichotomy results of Silver and Harrington–Kechris–Louveau (see [10, 8]), the Borel-cardinality of quotients over Borel equivalence relations on Polish spaces is well-understood below  $\mathcal{P}(\mathbb{N})/\text{Fin}$ . This can not be said for the next level of this ordering, even if we restrict our attention to Borel-cardinalities of quotients  $\mathcal{P}(\mathbb{N})/\mathcal{I}$  over Borel ideals  $\mathcal{I}$ . The two natural ‘successors’ of  $\text{Fin}$  are the Fubini ideals on  $\mathbb{N}^2$ :  $\text{Fin} \times \emptyset$  (also called  $I_1$ ) consisting of all sets with only finitely many nonempty vertical sections, and  $\emptyset \times \text{Fin}$  (also called  $I_3$  and  $\text{Fin}^\omega$ ) consisting of all sets all of whose vertical sections are finite. By results of Solecki ([17]), quotients over these two ideals are the critical points for quotients over Borel ideals which are not P-ideals and for Borel P-ideals which are not  $F_\sigma$ , respectively ( $\mathcal{I}$  is a *P-ideal* if it is  $\sigma$ -directed under the inclusion modulo finite). In [9], Kechris posed the following trichotomy conjecture for Borel ideals  $\mathcal{I}$  such that  $\mathcal{P}(\mathbb{N})/\mathcal{I} \not\leq_B \mathcal{P}(\mathbb{N})/\text{Fin}$ : at least one of  $\mathcal{P}(\mathbb{N}^2)/\text{Fin} \times \emptyset$ ,  $\mathcal{P}(\mathbb{N}^2)/\emptyset \times \text{Fin}$ , and  $\mathcal{P}(\mathbb{N})/\mathcal{I}_{1/n}$  is  $\leq_B \mathcal{P}(\mathbb{N})/\mathcal{I}$  (the summable ideal  $\mathcal{I}_{1/n}$  is defined below). By the above results of Solecki, this is equivalent to an earlier dichotomy conjecture of Mazur ([12]): If  $\mathcal{I}$  is an  $F_\sigma$  ideal such that  $\mathcal{P}(\mathbb{N})/\mathcal{I} \not\leq_B \mathcal{P}(\mathbb{N})/\text{Fin}$ , then either  $\mathcal{P}(\mathbb{N}^2)/\text{Fin} \times \emptyset$  or  $\mathcal{P}(\mathbb{N})/\mathcal{I}_{1/n}$  is  $\leq_B \mathcal{P}(\mathbb{N})/\mathcal{I}$ .

Consider an ordering on Borel ideals simpler than  $\leq_B$ :

$\mathcal{I} \leq_{RB}^+ \mathcal{J}$  if there is  $A \subseteq \mathbb{N}$  and  $h: A \rightarrow \mathbb{N}$  such that  $B \in \mathcal{I}$  iff  $h^{-1}(B) \in \mathcal{J}$ .

If  $A = \mathbb{N}$ , then we write  $\mathcal{I} \leq_{RB} \mathcal{J}$ . Clearly  $\mathcal{I} \leq_{RB}^+ \mathcal{J}$  implies  $\mathcal{P}(\mathbb{N})/\mathcal{I} \leq_B \mathcal{P}(\mathbb{N})/\mathcal{J}$ , as the mapping  $A \mapsto h^{-1}(A)$  verifies. It is rather surprising that the converse is often true; for example, the above Solecki's dichotomy results are proved for the  $\leq_{RB}$ -ordering (see also Lemma 2.1 below).

Any  $\mathcal{I}$  serving as a counterexample to the Kechris–Mazur conjecture, or KMC, would have to be an  $F_\sigma$  P-ideal. Until recently, the only known  $F_\sigma$  P-ideals were the *summable ideals*, that is, ones of the form

$$\mathcal{I}_f = \{A : \nu_f(A) < \infty\} = \{A : \lim_n (\nu_f(A \setminus n)) = 0\}$$

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where  $\nu_f(A) = \sum_{n \in A} f(n)$  for some  $f: \mathbb{N} \rightarrow \mathbb{R}^+$ . These ideals can not serve as a counterexample to the Kechris–Mazur’s conjecture, since we have either  $\mathcal{I}_f \leq_{RB}^+ \text{Fin}$  or  $\mathcal{I}_{1/n} \leq_{RB}^+ \mathcal{I}_f$  (note that this is false for the  $\leq_{RB}$  ordering, and this is why we introduce  $\leq_{RB}^+$ ). By [17, Theorem 3.3] (see also [11, Lemma 1.2]), all  $F_\sigma$  P-ideals are of the form

$$\mathcal{I} = \{A : \phi(A) < \infty\} = \{A : \lim_i \phi(A \setminus i) = 0\}$$

for some *lower semicontinuous* submeasure  $\phi$ , i.e. mapping such that  $\phi(A) \leq \phi(A \cup B) \leq \phi(A) + \phi(B)$ ,  $\phi(\emptyset) = 0$  and  $\lim_i \phi_i(A \cap i) = \phi(A)$  for all  $A, B$ . The first non-summable  $F_\sigma$  P-ideals were discovered in [4] (see also [3]). All these ideals were of the form

$$\mathcal{I}_{\{\phi_n\}} = \{A : \sum_i \phi_i(A) < \infty\},$$

where for some sequence  $\{n_i\}$  each  $\phi_i$  is a submeasure on the interval  $[n_i, n_{i+1})$ . But such ideals satisfy the KMC since if  $\lim_i \sup_j \phi_i(\{j\}) = 0$  (and this can be assumed without a loss of generality by going to a positive set) then there are  $s_i$  and  $m_i$  such that

$$\phi_k(s_i) \begin{cases} \approx 1/i, & k = m_i \\ = 0, & \text{otherwise,} \end{cases}$$

so that the map collapsing  $s_i$  to  $i$  witnesses  $\mathcal{I}_{1/n} \leq_{RB}^+ \mathcal{I}_{\{\phi_i\}}$ . An  $F_\sigma$  P-ideal which is not of the form  $\mathcal{I}_{\{\phi_i\}}$  was later found by Solecki ([16]), who has also proved that this ideal is of the form  $\mathcal{I}_{\{\phi_i\}}$  when restricted to a positive set, so it is again  $\geq_{RB}^+ \mathcal{I}_{1/n}$ . Another class of  $F_\sigma$  P-ideals, suggested by Kechris, are ideals of the form

$$\mathcal{I} = \{A : \sqrt[p]{\sum_i \phi_i(A)^p} < \infty\}$$

for a sequence of submeasures  $\phi_i$  as before and  $p > 1$ , but these again do not serve as a counterexample to KMC, for the same reason as  $\mathcal{I}_{\{\phi_i\}}$ . (However, using methods and results of [7] it can be proved that the Borel-cardinalities of these quotients are different for different  $p$ ’s.)

The new  $F_\sigma$  P-ideal which we define here is extracted from *Tsirelson space*, an infinite-dimensional Banach spaces which does not contain a copy of  $c_0$  or any  $\ell_p$  (see [2]). The study of this space has played a prominent role in the recent striking developments in the theory of infinite-dimensional Banach spaces (see [6], [13, page 956]). It is likely that other Banach spaces will give rise to interesting examples of analytic P-ideals (see [5]).

After the completion of this paper, we have learned that our main result, Theorem 3.1, was independently proved by B. Velickovic ([19]).

The paper is organized as follows. In §2 we prove that  $\mathcal{P}(\mathbb{N})/\mathcal{I}_{1/n} \leq_B \mathcal{P}(\mathbb{N})/\mathcal{I}$  is equivalent to  $\mathcal{I}_{1/n} \leq_{RB}^+ \mathcal{I}$ . In §3 we introduce the ideals  $\mathcal{T}_{fh}$ . In §§4–6 various properties of these ideals are proved, and in §7 we conclude the proof that  $\mathcal{P}(\mathbb{N})/\mathcal{T}_{fh}$  serves as a counterexample to the Kechris–Mazur conjecture.

A word on notation: If  $s, t$  are finite sets of integers and  $n$  is an integer, by  $s < t$  we will denote the fact that  $\max s < \min t$ , and by  $n < s$  ( $n > s$ ) the fact that  $n < \min s$  ( $n > \max s$ , respectively).

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## 2. THE FIRST REDUCTION

A quotient  $\mathcal{P}(\mathbb{N})/\mathcal{I}$  has the smaller *Borel-cardinality* than the quotient  $\mathcal{P}(\mathbb{N})/\mathcal{J}$  (in symbols  $\mathcal{P}(\mathbb{N})/\mathcal{I} \leq_B \mathcal{P}(\mathbb{N})/\mathcal{J}$ ) if there is a Borel mapping  $F: \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$  such that  $X\Delta Y \in \mathcal{I}$  iff  $F(X)\Delta F(Y) \in \mathcal{J}$ .

In the following lemma it is proved that the KMC is equivalent to its apparently stronger version, appearing in [4], which states: For every analytic ideal  $\mathcal{I}$  such that  $\mathcal{I} \not\leq_{RB} \text{Fin}$  one of  $\text{Fin} \times \emptyset$ ,  $\emptyset \times \text{Fin}$  or  $\mathcal{I}_{1/n}$  is  $\leq_{RB} \mathcal{I} \upharpoonright A$  for some  $\mathcal{I}$ -positive  $A$ . (It is well-known that  $\mathcal{P}(\mathbb{N})/\mathcal{I} \leq_B \mathcal{P}(\mathbb{N})/\text{Fin}$  is equivalent to  $\mathcal{I} \leq_{RB} \text{Fin}$ .)

**Lemma 2.1.** If  $\mathcal{J}$  is an analytic P-ideal such that  $\mathcal{P}(\mathbb{N})/\mathcal{I}_{1/n} \leq_B \mathcal{P}(\mathbb{N})/\mathcal{J}$ , then  $\mathcal{I}_{1/n} \leq_{RB}^+ \mathcal{J}$ . Moreover, there are  $w_1 < w_2 < w_3 < \dots$  in  $\text{Fin}$  such that the map collapsing  $w_i$  to  $i$  witnesses this.

**PROOF** By [17], we can fix a lower semicontinuous submeasure  $\phi$  such that  $\mathcal{J} = \{A : \lim_i \phi(A \setminus i) = 0\}$ . Let  $F: \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$  be a Borel reduction. By a standard use of stabilizers, similar to one below, we can assume that  $F$  is continuous (see also [18]). Find integers  $1 = a_1 < b_1 < a_2 < b_2 < \dots$ ,  $s_i \subseteq [b_i, a_{i+1})$  and  $k_i \in (b_i, a_{i+1})$  so that for all  $i$ , all  $u, v \subseteq b_i$ , and all  $X, Y \subseteq [a_{i+1}, \infty)$ :

1.  $a_i > 2^i$ ,  $b_i > 2a_i$ ,
2.  $(F(u \cup s_i \cup X)\Delta F(u \cup s_i \cup Y)) \cap k_i = \emptyset$ ,
3.  $\phi((F(u \cup s_i \cup X)\Delta F(v \cup s_i \cup X)) \setminus k_i) \leq 2^{-i}$ .

The method for construction of these sequences is standard, dating back to [15] and [18]: Assume that  $a_i, b_i$  ( $i \leq n$ ) and  $s_j, k_j$  ( $j \leq n-1$ ) as above have been chosen, but there are no  $s_n, k_n$  and  $a_{n+1}$  satisfying the requirements. The condition 1 is easy to satisfy and since  $F$  is continuous, 2 will be satisfied for every choice of  $s_n$ , a large enough  $k_n$  and a large enough  $a_{n+1}$ . Therefore we can construct a sequence  $b_n < t_1 < l_1 < t_2 < l_2 < \dots$  so that  $l_i \in \mathbb{N}$ ,  $t_i \in \text{Fin}$ , and for all  $i$  there are  $u_i, v_i \subseteq a_n$  such that

$$\phi((F(u_i \cup \bigcup_{j=1}^i t_j \cup t_{i+1})\Delta F(v_i \cup \bigcup_{j=1}^i t_j \cup t_{i+1})) \setminus l_i) > 2^{-n}.$$

Pick  $u, v$  such that  $\langle u, v \rangle = \langle u_i, v_i \rangle$  infinitely often. Then  $F(u \cup \bigcup_i t_i)\Delta F(v \cup \bigcup_i t_i)$  is not in  $\mathcal{J}$ —a contradiction.

Assume  $a_n, b_n, s_n$  and  $k_n$  are chosen to satisfy the above conditions. By 1,  $\nu_{1/n}([a_i, b_i]) \geq 1/n$  and there is  $u_i \subseteq (a_i, b_i)$  such that  $|\nu_{1/n}(u_i) - 1/i| \leq 2^{-i}$  for every  $i$ . Let  $C = \bigcup_i s_i$  and define  $F_1: \mathcal{P}(\bigcup_i [a_i, b_i]) \rightarrow \mathcal{P}(\mathbb{N})$  by

$$F_1(B) = F(B \cup C)\Delta F(C).$$

Then  $F_1(\emptyset) = \emptyset$  and for  $X, Y \subseteq \bigcup_i [a_i, b_i)$  we have  $(X \cup C)\Delta(Y \cup C) = X\Delta Y \in \mathcal{I}_{1/n}$  iff  $F_1(X)\Delta F_1(Y) = F(X \cup C)\Delta F(Y \cup C) \in \mathcal{J}$ . Note that by 2–3 for all  $i$ , all  $u, v \subseteq \bigcup_{i \leq m} [a_i, b_i)$ , and all  $X, Y \subseteq \bigcup_{i \geq m+1} [a_i, b_i)$  we have:

4.  $(F_1(u \cup X)\Delta F_1(u \cup Y)) \cap k_m = \emptyset$ ,
5.  $\phi((F_1(u \cup X)\Delta F_1(v \cup X)) \setminus k_m) \leq 2^{-m}$ .

Let  $w_i = F_1(u_i) \cap [k_{i-1}, k_i)$ . Then a map collapsing  $w_i$  to  $i$  witnesses  $\mathcal{I}_{1/n} \leq_{RB}^+ \mathcal{J}$ . This follows by the following computations (assume  $m \in A$  and let  $u_X = \bigcup_{i \in X} u_i$ ):

$$\begin{aligned} t_m &= (F_1(u_A)\Delta w_A) \cap [k_{m-1}, k_m) \\ &\subseteq (F_1(u_A)\Delta F_1(u_{A \setminus m})) \cup (F_1(u_{A \setminus m})\Delta F_1(u_m)) \cap [k_{m-1}, k_m) \\ &\text{(since } w_A \cap [k_{m-1}, k_m) = w_m) \end{aligned}$$

$$\begin{aligned}
&\subseteq ((F_1(u_A)\Delta F_1(u_{A\setminus m})) \setminus a_m) \cup (F_1(u_{A\setminus m})\Delta F_1(u_m)) \cap k_m \\
&\text{(by 4. and 5., since } u_A\Delta u_{A\setminus m} \subseteq \bigcup_{i=1}^{m-1} [a_i, b_i] \text{ and } u_{A\setminus m}\Delta u_m \subseteq \bigcup_{i=m+1}^{\infty} [a_i, b_i]) \\
&= (F_1(u_A)\Delta F_1(u_{A\setminus m})) \setminus a_m,
\end{aligned}$$

and therefore  $\phi(t_m) \leq 2^{-m+1}$ , if  $m \in A$ . An analogous computation shows that  $\phi(t_m) \leq 2^{-m+1}$  also in the case when  $m \notin A$ , and therefore we have

$$F_1(u_A)\Delta w_A \subseteq \bigcup_m t_m \cup [1, k_1] \in \mathcal{J}.$$

To prove the moreover part, that we can assume that  $w_1 < w_2 \dots$ , find  $1 < n_1 < m_1 < n_2 < m_2 < \dots$  so that  $n_i > 2^i$ ,  $\nu_{1/n}([n_i, m_i]) - 1/i \leq 2^{-i}$ , and

$$\max \bigcup_{j \in [n_i, m_i]} w_j < \min \bigcup_{j \in [n_{i+1}, m_{i+1}]} w_j.$$

Then sets  $w'_i = \bigcup_{j \in [n_i, m_i]} w_j$  are as required.  $\square$

Let us digress a little and note that  $\emptyset \times \text{Fin}$  also shares the nice property of  $\mathcal{I}_{1/n}$  from Lemma 2.1, as its proof readily shows.

**Lemma 2.2.** If  $\mathcal{J}$  is an analytic P-ideal such that  $\mathcal{P}(\mathbb{N}^2)/\emptyset \times \text{Fin} \leq_B \mathcal{P}(\mathbb{N})/\mathcal{J}$ , then  $\emptyset \times \text{Fin} \leq_{RB}^+ \mathcal{J} \upharpoonright A$  for some  $A \in \mathcal{J}^+$ .  $\square$

It would be interesting to find more ideals with this property shared by  $\mathcal{I}_{1/n}$  and  $\emptyset \times \text{Fin}$ , since it considerably simplifies some questions about the Borel-cardinality of their quotients. Let us note that a pathological  $F_{\sigma\delta}$  P-ideal  $\mathcal{J}$  constructed in [3, §6] does not have this property. Namely, by a result of M.R. Oliver ([14]),  $E_{\mathcal{J}}$  is Borel-reducible to  $E_{\mathcal{Z}_0}$ , the equivalence relation induced by the density zero ideal. But the ideal  $\mathcal{Z}_0$  is nonpathological (see [3]), and therefore by [3, Proposition 6.5]  $\mathcal{J} \leq_{RB}^+ \mathcal{Z}_0$  would imply that  $\mathcal{J}$  is nonpathological as well.

### 3. TSIRELSON SUBMEASURES AND IDEALS

Assume that  $\{x_n\}$  is an unconditional basic sequence in a Banach space  $X$  such that  $\lim_n \|\sum_{i=1}^n x_i\| = \infty$ . Then

$$\mathcal{J} = \{A : \|\sum_{n \in A} x_n\| < \infty\}$$

is an analytic P-ideal, which we call a *generalized summable ideal*. Many analytic P-ideals are of this form, and ideals  $\mathcal{T}_{fh}$  defined below are obtained in this way from the *Tsirelson space*, a Banach space which does not include a copy of  $c_0$  or any  $\ell_p$  (see [2]).

For sets  $A, B \subseteq \mathbb{N}$  by  $AB$  we shall often denote their intersection,  $A \cap B$ . Fix functions  $f: \mathbb{N} \rightarrow \mathbb{R}$  and an increasing  $h: \mathbb{N} \rightarrow \mathbb{N}$ . A tuple

$$\langle k, E_1, \dots, E_m \rangle$$

is *h-admissible* if  $k \in \mathbb{N}$ ,  $E_i \in \text{Fin}$  for all  $i$ ,  $k < E_1 < E_2 < \dots < E_m$ , and  $m \leq h(k)$ . We shall denote pairs  $\langle k, E_1, \dots, E_m \rangle$  by  $\langle k, \vec{E} \rangle$  and write  $m = |\vec{E}|$ , so that the necessary condition for the admissibility is  $|\vec{E}| \leq h(k)$ . Let  $\mathcal{A}_h$  be the set

of all  $h$ -admissible tuples. Define a sequence of *Tsirelson submeasures*  $\tau_n = \tau_{f,h,n}$  ( $n \in \mathbb{N} \cup \{\infty\}$ ) as follows:

$$\begin{aligned}\tau_{f,h,0}(A) &= \sup_{n \in A} f(n) \\ \tau_{f,h,n}^k(A) &= \sup_{\langle k, \vec{E} \rangle \in \mathcal{A}_h} \sum_{i=1}^{|\vec{E}|} \tau_{f,h,n}(E_i A), \\ \tau_{f,h,n+1}(A) &= \max\{\tau_{f,h,n}(A), \frac{1}{2} \sup_k \tau_{f,h,n}^k(A)\}, \\ \tau_{f,h,\infty}(A) &= \sup_n \tau_{f,h,n}(A) = \lim_n \tau_{f,h,n}(A).\end{aligned}$$

We shall always omit  $\infty$  in subscripts, so that  $\tau_{f,h}$  stands for  $\tau_{f,h,\infty}$  and  $\tau_{f,h}^k$  stands for  $\tau_{f,h,\infty}^k$ . Similarly, when  $f, h$  are clear from the context we shall write  $\tau_n$  instead of  $\tau_{f,h,n}$ ,  $\tau^k$  instead of  $\tau_{f,h}^k$ , and so on. The submeasure  $\tau = \tau_{f,h}$  defines a *Tsirelson ideal*,  $\mathcal{T}_{fh}$ , on  $\mathbb{N}$  by:

$$\mathcal{T}_{fh} = \text{Exh}(\tau) = \{A : \lim_i \tau(A \setminus i) = 0\}.$$

We shall always assume that  $f: \mathbb{N} \rightarrow \mathbb{R}^+$ ,  $\lim_i f(i) = 0$ , and  $h$  is strictly increasing, unless otherwise specified.

**Theorem 3.1.** Assume  $f, h$  are as above. Then  $\mathcal{P}(\mathbb{N})/\mathcal{I}_{1/n} \not\leq_B \mathcal{P}(\mathbb{N})/\mathcal{T}_{fh}$  and  $\mathcal{T}_{fh}$  is an  $F_\sigma$  P-ideal.

The proof of Theorem 3.1 occupies the rest of this note.

**Lemma 3.2.** Assume  $f, h$  are as above. Then

1. Either  $\tau(A) = \sup_{i \in A} f(i)$  or  $\tau(A) = \frac{1}{2} \sup_k \tau^k(A)$ .
2. All  $\tau_n$  and  $\tau$  are lower semicontinuous submeasures.
3.  $\tau(A) < \infty$  if and only if  $\lim_n \tau(A \setminus n) = 0$ .
4.  $\tau_{m+1}(A) < \infty$  if and only if  $\lim_n \tau_m(A \setminus n) = 0$ .
5.  $\mathcal{T}_{fh} = \{A : \tau(A) < \infty\}$  and therefore it is an  $F_\sigma$  P-ideal.

**PROOF** To prove 1, note that if  $\tau(A) > \sup_{i \in A} f(i)$  then we have

$$\tau(A) = \sup_n \left( \frac{1}{2} \sup_k \tau_n^k(A) \right) = \frac{1}{2} \sup_k \tau^k(A).$$

Statement 2 is obvious from the definition. In 3 only the direct implication requires a proof. Assume that  $\lim_n \tau(A \setminus n) \neq 0$ ; then we can find  $\varepsilon > 0$  and finite sets  $w_1 < w_2 < \dots$  included in  $A$  such that  $\tau(w_n) \geq \varepsilon$  for all  $n$ . Fix  $p \in \mathbb{N}$  and find  $\bar{n}$  such that

$$\min(w_{\bar{n}}) > 2p.$$

Then  $A_0 = \bigcup_{i=\bar{n}}^{\bar{n}+2p} w_i \subseteq A$  and by 1 we get

$$\tau(A_0) \geq \frac{1}{2} \sum_{i=\bar{n}}^{\bar{n}+2p} \tau(w_i) \geq p \cdot \varepsilon.$$

Since  $p$  was arbitrary, we have  $\tau(A) = \infty$  as required.

The proof of 4 is analogous to that of 3, and 5 follows immediately from 3.  $\square$

We shall concentrate on the ideal  $\mathcal{T}_{f,h}$ , but we note that the ideals

$$\mathcal{T}_{f,h,n} = \text{Exh}(\tau_n) = \{A : \lim_i \tau_n(A \setminus i) = 0\}, \quad n \in \mathbb{N},$$

can turn out to be interesting in their own right. All these are P-ideals which are not  $F_\sigma$  (assuming they are proper ideals, of course), since a mapping witnessing  $\emptyset \times \text{Fin} \leq_{RB} \mathcal{T}_{f,h,n}$  can be easily obtained from an  $(n-1)$ -good sequence (see §6).

#### 4. PROPERTIES OF TSIRELSON SUBMEASURES

In this section we compute several lemmas which will be used in proof of Theorem 3.1. First we give a transparent description of how  $\tau_n$  is computed in Lemma 4.2 below. By  $\mathbb{N}^{<\mathbb{N}}$  we denote the set of all finite sequences of integers, and consider it as a tree under the ordering of end-extension. A set  $T \subseteq \mathbb{N}^{<\mathbb{N}}$  is a *tree* if it is closed under taking initial segments of its elements. Note that the height of  $T$  is equal to the maximal length of its element. By  $\langle \rangle$  we denote the empty sequence in  $\mathbb{N}^{<\mathbb{N}}$ , and  $t \hat{\ } i$  is the sequence obtained by concatenating  $t$  with  $\langle i \rangle$ . An *end-node* of  $T$  is any  $t \in T$  such that  $t \hat{\ } i \notin T$  for all  $i$ . (Note that end-nodes of  $T$  do not necessarily belong to its top level.)

**Definition 4.1.** A family  $\langle E_t : t \in T \rangle$  is an  *$h$ -tree* if  $T \subseteq \mathbb{N}^{<\mathbb{N}}$  is a finitely branching finite tree, sets  $E_t$  are finite, and for all  $t \in T$ , if  $t \hat{\ } 1, \dots, t \hat{\ } l$  are the immediate successors of  $t$  in  $T$  then

1.  $E_{t \hat{\ } 1} < E_{t \hat{\ } 2} < \dots < E_{t \hat{\ } l}$  and  $E_t = \bigcup_{i=1}^l E_{t \hat{\ } i}$ ,
2.  $l \leq h(\min E_{t \hat{\ } 1})$ , i.e.  $\langle \min E_{t \hat{\ } 1}, E_{t \hat{\ } 1}, \dots, E_{t \hat{\ } l} \rangle$  is  $h$ -admissible, and
3. if  $t$  is an end-node of  $T$ , then  $E_t$  is a singleton.

The *height* of  $\langle E_t : t \in T \rangle$  is the height of  $T$ . Note that every  $i \in E_\langle \rangle$  defines the unique branch,

$$B_i = \{t \in T : i \in E_t\},$$

of  $T$ . The *length*,  $|B_i|$ , of this branch is equal to the length of its last node. A function  $g: \mathbb{N} \rightarrow \{0, 1, \dots, n, \infty\}$  is an  $(h, n)$ -*weight assignment* if there is an  $h$ -tree  $\langle E_t : t \in T \rangle$  of height at most  $n$  such that

4.  $E_\langle \rangle = \{i : g(i) \neq \infty\}$ ,
5.  $g(i) = |B_i|$  for each  $i \in E_\langle \rangle$ .

**Lemma 4.2.** Assume that  $h: \mathbb{N} \rightarrow \mathbb{N}$  is strictly increasing. Then for every  $s$  we have

$$\tau_n(s) = \sup_g \sum_{i \in s} 2^{-g(i)} f(i),$$

where the supremum is taken over all  $(h, n)$ -weight assignments  $g$ .

**PROOF** Note that every branching of an  $h$ -tree corresponds to an application of a step in the recursive definition of  $\tau_{n+1}$ , and that the nodes of height  $k$  come with the weight equal to  $2^{-k}$  because of the  $k$ -fold multiplication with  $1/2$ . Conditions 3. and 5. correspond to  $\tau_0(A) = \sup_{i \in A} f(i)$ . Therefore, the Lemma is proved by a straightforward induction on  $n$ .  $\square$

**Lemma 4.3.** If  $f, h$  are as in Lemma 4.2,  $n \in \mathbb{N}$  and  $s$  is finite, then there is an  $s' \subseteq s$  such that  $\tau_n(s') = \tau_n(s)$  and  $\tau(s') \leq 3\tau_n(s)/2$ .

PROOF Since  $s$  is finite, the supremum appearing in Lemma 4.2 is attained for some  $(h, n)$ -weight assignment  $g$ ; let  $s' = \{i : g(i) \neq \infty\} \cap s$ . It suffices to prove that  $\tau_m(s') \leq 3\tau_n(s')/2$  for every  $m$ . Fix  $m$ , let  $g_m$  be some  $(h, m)$ -weight assignment, and let  $X = \{i \in s' : g_m(i) > g(i)\}$  and  $Y = \{i \in s' : g_m(i) \leq g(i)\}$ . We claim that

$$(\dagger) \sum_{i \in Y} 2^{-g_m(i)} f(i) \leq \tau_n(s').$$

To verify this, by Lemma 4.2 it suffices to show that the map  $g'$  defined by

$$g'(i) = \begin{cases} g_m(i), & \text{if } g_m(i) \leq g(i), \\ \infty, & \text{if } g_m(i) > g(i) \text{ or } g_m(i) = \infty, \end{cases}$$

is an  $(h, n)$ -weight assignment. To see this, let  $\langle E_t : t \in T \rangle$  be an  $h$ -tree of height  $m$  witnessing that  $g_m$  is an  $(h, m)$ -weight assignment. Then for  $T' = \{t \in T : |t| \leq n\}$  the family  $\langle E_t \cap \{i : g'(i) \neq \infty\} : t \in T' \rangle$  is an  $h$ -tree (this follows immediately from the definitions). Since  $g$  is an  $(h, n)$ -weight assignment, this tree is of height at most  $n$  and it witnesses that  $g'_m$  is an  $(h, n)$ -weight assignment.

Therefore  $(\dagger)$  is true and since  $X \subseteq s'$  we have

$$\begin{aligned} \tau_m(s') &= \sum_{i \in X} 2^{-g_m(i)} f(i) + \sum_{i \in Y} 2^{-g_m(i)} f(i) \\ &\leq \frac{1}{2} \sum_{i \in X} 2^{-g(i)} f(i) + \tau_n(s') \leq \frac{1}{2} \tau_n(s') + \tau_n(s'). \end{aligned}$$

Since  $m$  was arbitrary and  $\tau(s') = \sup_m \tau_m(s')$ , this concludes the proof.  $\square$

**Lemma 4.4.** Assume  $\lim_n f(n) = 0$  and  $h : \mathbb{N} \rightarrow \mathbb{N}$  is strictly increasing. Then for all  $s$  and  $n$  we have  $\nu_f(s) \geq 2^{n+1}(\tau_{n+1}(s) - \tau_n(s))$ .

PROOF Fix an  $\varepsilon > 0$ . Let  $g$  be an  $(h, n+1)$ -weight assignment such that

$$\sum_{i \in s} 2^{-g(i)} f(i) \geq \tau_{n+1}(s) + \varepsilon,$$

as given by Lemma 4.2. Let  $s_0 = \{i \in s : g(i) \leq n\}$ . Then by a weight assignment argument identical to that in the proof of Lemma 4.3 we have  $\tau_n(s) \geq \tau_n(s_0) \geq \sum_{i \in s_0} 2^{-g(i)} f(i)$ , and therefore

$$\begin{aligned} 2^{-(n+1)} \nu_f(s) &\geq 2^{-(n+1)} \sum_{i \in s \setminus s_0} f(i) \\ &\geq \sum_{i \in s} 2^{-g(i)} f(i) - \sum_{i \in s_0} 2^{-g(i)} f(i) \geq \tau_{n+1}(s) - \tau_n(s) + \varepsilon. \end{aligned}$$

Since  $\varepsilon > 0$  was arbitrary, this concludes the proof.  $\square$

Recall that if  $\{w_i\}$  is a sequence of sets and  $A \subseteq \mathbb{N}$ , then we write  $w_A = \bigcup_{i \in A} w_i$ . The following lemma will be very useful (recall the definition of  $\tau_n^k$  from §3).

**Lemma 4.5.** Assume  $f, h$  are as in Lemma 4.2,  $w_1 < w_2 < \dots$  is a sequence of finite sets, and  $\delta > 0$ . If for all  $i$  we have

1.  $\tau_n(w_i) < \delta/2$  and
2.  $\tau_{n-1}^{\max(w_i)}(w_{[i+1, \infty)}) < \delta$  (taking  $\max(w_0) = 1$ ),

then for every  $A \subseteq \bigcup_i w_i$  we have  $\tau_n(A) < \delta$ .

PROOF If  $\tau_n(A) = \tau_{n-1}(A)$ , the conclusion follows from 2. above.

**Claim 4.6.** Under the above assumptions, if  $A \subseteq \bigcup_i w_i$  and  $\tau_n(A) > \tau_{n-1}(A)$ , then

$$\tau_n(A) \leq \sup_i \left( \tau_n(w_i) + \frac{1}{2} \tau_{n-1}^{\max w_i} \left( \bigcup_{j=i+1}^{\infty} w_j \right) \right).$$

**PROOF** Fix  $\varepsilon > 0$ . By the assumption, we have  $\tau_n(A) \leq \frac{1}{2} \sum_{j=1}^m \tau_{n-1}(E_j A) + \varepsilon$

for some  $m \leq h(k)$  and  $k < E_1 < \dots < E_m$ . Let  $i$  be the minimal such that  $k \leq \max w_i$ . If  $\max E_l \leq \max w_i$  for all  $l = 1, \dots, h(k)$ , then  $\tau_n(A) \leq \tau_n(w_i) + \varepsilon$ , and there is nothing to prove. Let  $l$  be the minimal such that  $\max E_l > \max w_i$ . Then

$$\begin{aligned} \tau_n(A) &\leq \frac{1}{2} \sum_{j=1}^{l-1} \tau_{n-1}(E_j A) + \tau_{n-1}(E_l A) + \frac{1}{2} \sum_{j=l}^m \tau_{n-1}(E_j A) + \varepsilon \\ &\leq \frac{1}{2} \sum_{j=1}^{l-1} \tau_{n-1}(E_j A) + \tau_{n-1}(E_l(Aw_i)) + \\ &\quad \tau_{n-1}(E_l(A \setminus w_i)) + \frac{1}{2} \sum_{j=l}^m \tau_{n-1}(E_j A) + \varepsilon \\ &\leq \tau_n(w_i) + \frac{1}{2} \tau_{n-1}^k(\bigcup_{p=i+1}^{\infty} w_p) + \varepsilon. \end{aligned}$$

Since  $k \leq \max w_i$  and  $\varepsilon > 0$  was arbitrarily small, this completes the proof.  $\square$

Lemma 4.5 follows immediately by the Claim.  $\square$

## 5. THE SECOND REDUCTION

The main result of this section is Proposition 5.3, essentially saying that if  $\mathcal{I} \leq_{RB} \mathcal{T}_{fh}$  then  $\mathcal{I}$  is of the form  $\mathcal{T}_{f',h'}$  for some  $f', h'$  (possibly with  $\lim_i f'(i) \neq 0$ ). It is essentially due to Casazza, Johnson and Tzafriri (see [1] and [2, Proposition I.12 and Lemmas II.1 and II.3]) who used the case when  $h$  is the identity function to prove that every infinite-dimensional subspace of the Tsirelson space includes a copy of a Tsirelson space. We reproduce the proof from [1] for the convenience of the reader.

**Lemma 5.1.** Assume  $f: \mathbb{N} \rightarrow \mathbb{R}$  is nonnegative and  $h: \mathbb{N} \rightarrow \mathbb{N}$  is strictly increasing, and let  $h^+(n) = h(n + h(n))$ . Then for every  $A$  and  $n$  we have

$$\tau_{f,h,n}(A) \leq \tau_{f,h^+,n}(A) \leq 3\tau_{f,h,n}(A).$$

**PROOF** The left-hand side inequality is obvious, since  $h^+ \geq h$ . We prove the following strengthening of the right-hand side inequality by induction:

(\*) For all  $A$  and  $n$  there are sets  $F_1 < F_2 < F_3$  such that

$$\tau_{f,h^+,n}(A) \leq \sum_{j=1}^3 \tau_{f,h,n}(F_j A).$$



The case when  $n = 0$  is trivial, so let us assume the lemma is proved for some  $n$  and prove it for  $n + 1$ . If  $\tau_{f,h^+,n+1}(A) = \tau_{f,h^+,n}(A)$ , then there is nothing to prove, so we can assume

$$\begin{aligned} \tau_{f,h^+,n+1}(A) &= \frac{1}{2} \sum_{l=1}^{h^+(k)} \tau_{f,h^+,n}(E_l A), \quad \text{for some } h^+\text{-admissible } \langle k, \vec{E} \rangle, \\ &\leq \frac{1}{2} \sum_{l=1}^{h^+(k)} \sum_{j=1}^3 \tau_{f,h,n}(F_{lj} E_l A), \quad \text{for some } F_{l1} < F_{l2} < F_{l3}. \end{aligned}$$

We can assume  $F_{lj} E_l = F_{lj}$  for all  $l, j$ . For  $G_{3(l-1)+j} = F_{lj}$  we have  $G_1 < G_2 < \dots < G_{3h^+(k)}$ . We can assume all  $G_l$ 's are nonempty, possibly by eliminating the empty ones from the sequence. Let  $k^* = k + h(k)$  (so that  $h^+(k) = h(k^*)$ ) and

$$F_1 = \bigcup_{l=1}^{h(k)} G_l, \quad F_2 = \bigcup_{l=h(k)+1}^{h(k)+h(k^*)} G_l, \quad \text{and} \quad F_3 = \bigcup_{l=h(k)+h(k^*)+1}^{3h^+(k)} G_l.$$

Then  $\langle k, G_1, \dots, G_{h(k)} \rangle$  is  $h$ -admissible, so we have

$$\tau_{f,h,n+1}(F_1 A) \geq \frac{1}{2} \sum_{l=1}^{h(k)} \tau_{f,h,n}(G_l A).$$

Note that, since each  $G_l$  is nonempty, we have  $\min(G_{h(k)+1}) \geq \min G_1 + h(k) \geq k^*$ , and therefore the tuple associated with  $F_2$  is  $h$ -admissible and we have

$$\tau_{f,h,n+1}(F_2 A) \geq \frac{1}{2} \sum_{l=h(k)+1}^{h(k^*)} \tau_{f,h,n}(G_l A).$$

Like before,  $\min G_{h(k)+h(k^*)+1} \geq k^* + h(k^*)$ . Since  $k \leq h(k)$  and  $h(2k) \leq h(k^*)$ , we have (note that  $h(i) + j \leq h(i + j)$ , since  $h$  is strictly increasing):

$$3h^+(k) \leq h(k^*) + 2h(k^*) \leq h(k^*) + h(k^* + h(k^*))$$

and  $3h^+(k) - h(k^*) \leq h(k^* + h(k^*))$ , so the tuple associated with  $F_3$  is  $h$ -admissible, and we have

$$\tau_{f,h^+,n+1}(A) \leq \frac{1}{2} \sum_{l=1}^{3h(2k)} \tau_{f,h,n}(G_l A) \leq \sum_{j=1}^3 \tau_{f,h,n+1}(F_j A),$$

completing the inductive proof.  $\square$

**Lemma 5.2.** Assume  $f, h$  are as in Lemma 5.1 and that  $w_1 < w_2 < \dots$  are finite sets. Let  $f'(i) = \tau_{f,h}(w_i)$ ,  $h'(i) = h(\min w_i)$ , and  $h''(i) = h(\max w_i)$ . Then for all  $A \subseteq \mathbb{N}$  we have

$$\tau_{f',h'}(A) \leq \tau_{f,h}(w_A) \leq 6\tau_{f',h''}(A).$$

**PROOF** We first prove the left-hand side inequality, by proving

$$(*) \quad \tau_{f',h',n}(A) \leq \tau_{f,h}(w_A)$$

using the induction on  $n$ . Since  $\tau_{f',h'}(A) = \lim_n \tau_{f',h',n}(A)$ , this will suffice. In the case when  $n = 0$  for some  $i \in A$  we have  $\tau_{f',h',0}(A) = \tau_{f,h}(w_i) \leq \tau_{f,h}(\bigcup_{j \in A} w_j)$ .

Now assume (\*) is true for  $n$ . If  $\tau_{f',h',n+1}(A) = \tau_{f',h',n}(A)$ , there is nothing to prove. So we can assume

$$\tau_{f',h',n+1}(A) = \frac{1}{2} \sum_{j=1}^{h'(k)} \tau_{f',h',n}(E_j A), \quad \text{for some } h\text{-admissible } \langle k, \vec{E} \rangle.$$

(Assuming that  $|\vec{E}| = h'(k)$  is clearly not a loss of generality.) Since  $h'$  is increasing, we can assume  $k = \min E_1$ , and therefore  $h'(\min E_1) = h(\min w_k)$ . Let  $E'_j = \bigcup_{j \in E_i} w_j$ . Then  $\langle \min w_k, E'_1, \dots, E'_{h'(k)} \rangle$  is  $h$ -admissible, by the inductive assumption we have

$$\frac{1}{2} \sum_{j=1}^{h'(k)} \tau_{f',h',n}(E_j A) \leq \frac{1}{2} \sum_{j=1}^{h(\min w(k))} \tau_{f,h}(E'_j w_A) \leq \tau_{f,h}(w_A),$$

and this ends the verification of the left-hand side inequality.

Now we prove the right-hand side inequality. Let  $h^*(i) = 2h''(i)$ . Since  $2h''(i) \leq h''(i) + h''(i)$ , Lemma 5.1 implies  $\tau_{f',h^*,n} \leq 3\tau_{f',h'',n}$  for all  $n$ , and therefore it suffices to prove

$$\tau_{f,h,n}(w_A) \leq 2 \cdot \tau_{f',h^*,n}(A)$$

using the induction on  $n$ . When  $n = 0$  for some  $i \in A$  we have

$$\tau_{f,h,0}(w_A) = \tau_{f,h,0}(w_i) \leq \tau_{f,h}(w_i) = \tau_{f',h'',0}(i) \leq 2 \cdot \tau_{f',h^*,0}(A).$$

Now we assume the lemma is true for  $n$  and prove it for  $n+1$ . Again we can assume that  $\tau_{f,h,n+1}(w_A) > \tau_{f,h,n}(w_A)$ , therefore for some  $h$ -admissible  $\langle k, \vec{E} \rangle$  (without a loss of generality, we can assume that  $k = \min E_1$  and  $|\vec{E}| = h(k)$ ) we have

$$\tau_{f,h,n+1}(w_A) = \frac{1}{2} \sum_{l=1}^{h(k)} \tau_{f,h,n}(E_l w_A).$$

We can assume  $w_A \subseteq \bigcup_{l=1}^{h(k)} E_l$ . Let  $E_l^- = \{i : \min(w_i) \in E_l\}$  and  $E_l^+ = \{i : \max(w_i) \in E_l\}$ . Then by the inductive assumption

$$\begin{aligned} \frac{1}{2} \sum_{l=1}^{h(k)} \tau_{f,h,n}(E_l w_A) &\leq \frac{1}{2} \sum_{l=1}^{h(k)} 2\tau_{f,h,n}(E_l^+ A) + \frac{1}{2} \sum_{l=1}^{h(k)} 2\tau_{f,h,n}(E_l^- A) \\ &\leq \frac{1}{2} \sum_{l=1}^{h(k)} 2\tau_{f',h^*,n}(E_l^+ A) + \frac{1}{2} \sum_{l=1}^{h(k)} 2\tau_{f',h^*,n}(E_l^- A) \end{aligned}$$

If  $j = \min(\bigcup_{l=1}^{h(k)} (E_l^+ \cup E_l^-))$ , then  $h''(j) = h(\max w_j) \geq h(\min E_1) = h(k)$ , also  $h^*(j) = 2h''(j) \geq 2h(k)$ , so that  $\langle j, \vec{E}^* \rangle$  (where  $\vec{E}^*$  is the increasing enumeration of  $\bigcup_{l=1}^{h(k)} (E_l^- \cup E_l^+)$ ) is  $h^*$ -admissible, so that the right-hand side is equal to at most  $2\tau_{f',h^*,n+1}(A)$ . As pointed out earlier, this concludes the proof since  $\tau_{f',h^*,n+1} \leq \tau_{f',h'',n+1}$  for all  $n$ .  $\square$

We are now prepared for the main result of this section.

**Proposition 5.3.** Assume  $f: \mathbb{N} \rightarrow \mathbb{R}$  is nonnegative  $h: \mathbb{N} \rightarrow \mathbb{N}$  is strictly increasing. If  $w_1 < w_2 < \dots$  are finite sets, then for  $f'(i) = \tau_{f,h}(w_i)$  and  $h'(i) = h(\min w_i)$  we have  $\mathcal{T}_{f',h'} = \{A : w_A \in \mathcal{T}_{fh}\}$ .

PROOF Let  $h''(i) = \max(w_i)$  and  $(h')^+(i) = h'(i + h'(i))$ . Since  $h'' \leq (h')^+$ , Lemmas 5.1 and 5.2 imply that for every  $A$  we have

$$\tau_{f'h'}(A) \leq \tau_{f,h}(w_A) \leq 6\tau_{f'h''}(A) \leq 6\tau_{f'(h')^+}(A) \leq 18\tau_{f'h'}(A),$$

thus  $\tau_{f,h}(w_A) = \infty$  if and only if  $\tau_{f'h'}(A) = \infty$ , and the two ideals coincide.  $\square$

## 6. GOOD SEQUENCES

An important part of the proof of Theorem 3.1 is in proving its weaker version:

**Proposition 6.1.** If  $h$  is strictly increasing, the ideals  $\mathcal{T}_{fh}$  and  $\mathcal{I}_{1/n}$  are different.

PROOF Assume the contrary, that  $\mathcal{T}_{fh} = \mathcal{I}_{1/n}$ . Note that we can assume  $\lim_i f(i) = 0$ , for otherwise there would be an infinite set  $A$  none of whose infinite subsets is in  $\mathcal{T}_{fh}$ , but there is no such set for  $\mathcal{I}_{1/n}$ .

**Claim 6.2.** There is a sequence  $t_1 < t_2 < \dots$  and  $N \in \mathbb{N}$  such that

- a)  $\nu_f \leq N\nu_{1/n}$  on  $\bigcup_i t_i$ , and
- b)  $\inf_i (\tau_1(t_i)) > 0$ .

PROOF Let  $I_m = [m, m+h(m))$  and note that  $\tau(I_m) = \tau_1(I_m)/2 = \nu_f(I_m)/2$ . We shall prove that there is an  $N \in \mathbb{N}$  such that if  $t_m^0 = \{k \in I_m : k \cdot f(k) < N\}$ , then all but finitely many  $t_m^0$  satisfy  $\nu_{1/n}(t_m^0) \geq (\ln 2)/2$ . If can find such a sequence, then we can take  $t_i$  to be its subsequence satisfying  $t_1 < t_2 < \dots$ , and a) will be satisfied. To assure b), note that  $\liminf_i \nu_f(t_i) > 0$ , since otherwise there would be an infinite  $C \subseteq \mathbb{N}$  such that  $Y = \bigcup_{i \in C} t_i$  satisfies  $\nu_f(Y) < \infty$ , but then  $Y \in \mathcal{T}_{fh} \setminus \mathcal{I}_{1/n}$ , contradicting our assumptions. Therefore we can find a subsequence of  $t_i$  which satisfies  $\inf_i \nu_f(t_i) > 0$ , and since  $t_i \subseteq [m_i, m_i + h(m_i))$  for some  $m_i$ , this implies b).

Assume that  $N$  as above does not exist. Then we can find  $\{m(N)\}_{N=1}^\infty$  satisfying

1.  $\nu_{1/n}\{k \in I_{m(N)} : k \cdot f(k) < 2^N\} < (\ln 2)/2$ ,
2.  $k > 2^N$  for all  $k \in I_{m(N)}$ ,  $f(k) < 2^{-N}$ , and
3. intervals  $I_{m(N)}$  are pairwise disjoint.

Since  $\nu_{1/n}(I_m) \geq \ln(m + h(m)) - \ln(m) \geq \ln 2$  for all  $m$ , by 1. we have

$$\nu_{1/n}\{k \in I_{m(N)} : k \cdot f(k) \geq 2^N\} > \frac{\ln 2}{2}.$$

Let  $s_N \subseteq I_{m(N)}$  be such that

4.  $k \cdot f(k) \geq 2^N$  for all  $k \in s_N$ , and
5.  $|\nu_{1/n}(s_N) - 2^{-N+1}| < 2^{-N}$ .

(Note that 5. can be assured by using 2.) Then we have

$$\tau(s_N) = \frac{\nu_f(s_N)}{2} \geq 2^N \nu_{1/n}(s_N) \geq 2^N \cdot 2^{-N} = 1$$

therefore  $\bigcup_N s_N \in \mathcal{I}_{1/n} \setminus \mathcal{T}_{fh}$ , contradicting our assumption and completing the proof.  $\square$

We will use  $\{t_i\}$  given by Claim 6.2 to find  $\{w_j\}$  such that for all  $j$

6.  $w_1 < w_2 < \dots$  are included in  $\bigcup_i t_i$ ,
7.  $\tau_{j+1}(w_j) \geq 2^{-j+1}$ , and
8.  $\tau_j(w_j) \leq 2^{-j}$ .

Assume  $\{w_j\}$  satisfy 6–8 above, and find  $v_j \subseteq w_j$  such that  $\tau(v_j) \leq 3\tau_{j+1}(w_j)/2$  and  $\tau_{j+1}(v_j) = \tau_{j+1}(w_j)$ . Therefore  $\tau(v_j) < 2^{-j+2}$  and by Lemma 4.4 we have  $\nu_f(v_j) \geq 2^{j+1}(2^{-j+1} - 2^{-j}) = 2$ . Note that  $\tau \leq \nu_f$  and  $\nu_f \leq N\nu_{1/n}$  on the set  $X = \bigcup_j v_j \subseteq \bigcup t_i$ , and therefore  $\lim_n \tau(X \setminus n) < \infty$  and  $\lim_n \nu_f(X \setminus n) = \infty$ . This implies  $X \in \mathcal{T}_{fh} \setminus \mathcal{I}_f \subseteq \mathcal{T}_{fh} \setminus \mathcal{I}_{1/n}$ , contradicting our assumptions.

Therefore it will suffice to find  $\{w_i\}$  satisfying 6–8 above. We shall do this by using the following notion (recall that  $u_A = \bigcup_{i \in A} u_i$ ).

**Definition 6.3.** A sequence  $u_1 < u_2 < \dots$  of finite sets is *m-good* for  $f, h$  (or simply *m-good* if  $f, h$  are clear from the context) if there exists a  $\delta > 0$  such that:

- i) the set  $\{\tau_m(u_i) : i \in \mathbb{N}\}$  is dense in  $[0, \delta]$ , and
- ii)  $\lim_i \tau_{m-1}(u_{[i, \infty)}) = 0$ .

**Lemma 6.4.** Assume  $\lim_n f(n) = 0$  and  $h$  is strictly increasing. If a sequence  $\{u_i\}$  is *m-good* and  $a, \varepsilon > 0$ , then there is a finite  $v \subseteq \bigcup_i u_i$  satisfying  $|\tau_{m+1}(v) - a| < \varepsilon$  and  $\tau_m(v) < \varepsilon$ .

**PROOF** We can assume that  $\varepsilon < \delta$ , where  $\delta$  is as in the definition of good sequence. By going to a subsequence we can also assume that for all  $i$  we have

$$(*) \quad \tau_{m-1}^{\max u_i}(u_{[i+1, \infty)}) < \varepsilon \quad (\text{taking } \max(u_0) = 1).$$

The set  $A = \{i : \tau_m(u_i) < \varepsilon/2\}$  is infinite and  $\limsup_{k \in A} \tau_m(u_k) = \varepsilon/2$  (because the sequence  $\{u_i\}$  is *m-good* and the corresponding  $\delta$  is bigger than  $\varepsilon/2$ ). By 4. of Lemma 3.2 we have  $\tau_{m+1}(u_A) = \infty$ . Therefore we can find  $v \subseteq u_A$  such that  $\tau_{m+1}(v) \geq a$ ,  $\tau_{m+1}(v') < a$  for every  $v' \subsetneq v$ , and  $f(j) < \varepsilon$  for every  $j \in v$ . Then by the subadditivity of  $\tau_{m+1}$  we have  $|\tau_{m+1}(v) - a| = \tau_{m+1}(v) - a < \varepsilon$ . By Lemma 4.5 (applied with  $\delta = \varepsilon$ ) we have  $\tau_m(v) < \varepsilon$ , therefore  $v$  is as required.  $\square$

**Lemma 6.5.** Assume  $\lim_n f(n) = 0$  and  $h$  is strictly increasing. Then for every *m-good* sequence  $\{u_i\}$  there is an *m+1-good* sequence  $\{v_i\}$  such that  $\bigcup_i v_i \subseteq \bigcup_i u_i$ .

**PROOF** Let  $q_i$  ( $i \in \mathbb{N}$ ) be an enumeration of all rationals in the interval  $(0, 1)$ . By using Lemma 6.4, we can recursively find  $v_1 < v_2 < \dots$  included in  $\bigcup_i u_i$  and such that  $|\tau_{m+1}(v_i) - q_i| < 2^{-i}$  and  $\tau_m(v_i) < 2^{-i}$ . Then for every  $i$  we have  $\tau_m(v_{[i, \infty)}) < 2^{-i+1}$ , therefore the sequence  $v_i$  is *m+1-good*.  $\square$

Let  $t_i$  be as in Claim 6.2, and let  $\varepsilon = \inf_i \tau_1(t_i) > 0$ . Since  $\lim_n f(n) = 0$ , we can find  $u_i \subseteq t_i$  ( $i \in \mathbb{N}$ ) so that  $\{\tau_1(u_i) : i \in \mathbb{N}\}$  is dense in  $[0, \varepsilon]$ . Since the condition  $\lim_i \tau_0(u_i) = 0$  reduces to our assumption that  $\lim_k f(k) = 0$ , this sequence is 1-good. Therefore the sequence  $w_i$  as in 6–8 can now be constructed recursively by using Lemma 6.5 and Lemma 6.4. As explained before, this implies that that two ideals differ and concludes the proof.  $\square$

The above proof gives the following proposition of independent interest (see the proof of [5, Proposition 3.6]).

**Proposition 6.6.** If  $\lim_n f(n) = 0$ ,  $h: \mathbb{N} \rightarrow \mathbb{N}$  is strictly increasing and

$$\liminf_n \nu_f([n, n + h(n)]) > 0,$$

then for every  $m \geq 1$  there is an *m-good* sequence.  $\square$

## 7. PROOF OF THEOREM 3.1

The ideal  $\mathcal{T}_{fh}$  is, by 5 of Lemma 3.2, an  $F_\sigma$  P-ideal. Therefore it remains to prove that  $\mathcal{P}(\mathbb{N})/\mathcal{I}_{1/n} \not\leq_B \mathcal{P}(\mathbb{N})/\mathcal{T}_{fh}$ . By Lemma 2.1, it will suffice to prove that there is no sequence of finite sets  $w_1 < w_2 < \dots$  such that for all  $A \subseteq \mathbb{N}$  we have

(\*)  $A \in \mathcal{I}_{1/n}$  if and only if  $\bigcup_{i \in A} w_i \in \mathcal{T}_{fh}$ .

Assume that such a sequence exists. By Proposition 5.3, for some strictly increasing  $h'$  and  $f'(n) = \tau_{f,h}(w_n)$  the ideals  $\mathcal{I}_{1/n}$  and  $\mathcal{T}_{f',h'}$  coincide, but this contradicts Proposition 6.1 and completes the proof.

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