

# Between Maharam's and von Neumann's problems\*

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## Abstract

In the context of definable algebras Maharam's and von Neumann's problems essentially coincide. Consequently, random forcing is the only definable ccc forcing adding a single real that does not make the ground model reals null, and the only pairs of definable ccc  $\sigma$ -ideals with the Fubini property are **(meager, meager)** and **(null, null)**.

In Scottish Book, von Neumann asked whether every ccc, weakly distributive complete Boolean algebra carries a strictly positive probability measure. Von Neumann's problem naturally splits into two: (a) whether all such algebras carry a strictly positive continuous submeasure, and (b) whether every algebra that carries a strictly positive continuous submeasure carries a strictly positive measure. The latter problem is known under the names of Maharam's Problem and Control Measure Problem (see [16], [9], [5, §393]). While von Neumann's problem has a consistently negative answer ([16]), Maharam's problem can be stated as a  $\Sigma_2^1$  statement and is therefore, by Shoenfield's theorem, absolute between transitive models of set theory containing all countable ordinals.

**Theorem 0.1.** *Let  $I$  be a c.c.c.  $\sigma$ -ideal on Borel subsets of  $2^\omega$  that is analytic on  $G_\delta$ . The following are equivalent:*

- $P_I$  is a weakly distributive notion of forcing
- there is a continuous submeasure on  $2^\omega$  such that  $I$  is the  $\sigma$ -ideal of its null sets.

*A suitable large cardinal assumption implies that the assumption 'I is analytic on  $G_\delta$ ' can be relaxed to 'I is definable.'*

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Here  $P_I$  is the partial ordering of  $I$ -positive Borel sets under inclusion, and its regular open algebra is isomorphic to the quotient  $\text{Borel}/I$ . Thus, the von Neumann's problem restricted to regular open algebras of definable partial orders of the form  $P_I$  coincides with the Control Measure Problem.

Our result was obtained in November 2003. In December 2003 we learned that Balcar, Jech and Pazák ([2]) and independently Velickovic ([26]) proved that under the P-ideal dichotomy ([24]) every c.c.c. weakly distributive complete Boolean algebra carries a strictly positive continuous submeasure. Since the case of P-ideal dichotomy relevant to Boolean algebras size continuum can always be forced without adding reals ([1]), Theorem 0.1 follows via an absoluteness argument. Quickert ([17]) used the P-ideal dichotomy earlier in a similar context.

In order to state some interesting consequences of our theorem succinctly we quote the large cardinal version.

**Corollary 0.2 (LC).** *Suppose  $I$  is a definable c.c.c.  $\sigma$ -ideal on  $2^\omega$ . Then exactly one of the following holds:*

1. *There is a Borel set  $B \subset 2^\omega \times 2^\omega$  with all vertical sections in  $I$  and all horizontal sections of full Haar measure.*
2. *There is a condition  $p \in P_I$  such that  $P_I$  below  $p$  is isomorphic to the random forcing.*

In other words, if  $P_I$  does not force that the set of ground model reals is null, then  $P_I$  is the random forcing. Modulo Theorem 0.1, this is a consequence of a result of Christensen ([4]). By an earlier result of Shelah a similar result holds on the meager side.

**Fact 0.3 (LC).** *([20], see also [27]) Suppose  $I$  is a definable c.c.c.  $\sigma$ -ideal on  $2^\omega$ . Then exactly one of the following holds:*

1. *There is a Borel set  $B \subset 2^\omega \times 2^\omega$  with all vertical sections in  $I$  and all horizontal sections comeager.*
2. *There is a condition  $p \in P_I$  such that  $P_I$  below  $p$  is isomorphic to the Cohen forcing.*

Another attractive corollary is that, up to the isomorphism, the only definable c.c.c.  $\sigma$ -ideals for which Fubini theorem holds are **meager** and **null** (Theorem 3.3). This shows that, under a large cardinal assumption, those two ideals are the only 'reasonable' ideals as introduced by Kunen in [14].

## Terminology

Notation in this paper follows the set theoretic standard of [8]. For information on large cardinals and  $L(\mathbb{R})$  see also [11]. An ideal  $I$  is analytic on  $G_\delta$  if for every  $G_\delta$  set  $A \subseteq 2^\omega \times 2^\omega$  the set of all  $x$  such that the vertical section of  $A$  at  $x$  is in  $I$  is analytic. Both **meager** and **null** are analytic on  $G_\delta$  (see [12]).

Throughout the paper we will say that an ideal is *definable* if it belongs to the inner model  $L(\mathbb{R})$ . The suitable large cardinal assumption in Theorem 0.1 if  $I$  is in  $L(\mathbb{R})$  is that there are  $\omega$  Woodin cardinals with a measurable above them all. In all the subsequent results of this note no large cardinal assumptions are needed if  $I$  is assumed to be analytic on  $G_\delta$ .

A *continuous submeasure* (or a *Maharam submeasure*) on a complete Boolean algebra  $\mathcal{B}$  is a function  $\phi$  such that

1.  $A \subseteq B$  implies  $\phi(A) \leq \phi(B)$ ,
2.  $\phi(A \cup B) \leq \phi(A) + \phi(B)$ ,
3.  $\phi(0_{\mathcal{B}}) = 0$ , and
4. if  $A_n$  is a decreasing sequence in  $\mathcal{B}$  then  $\phi(\bigcap_n A_n) = \lim_n \phi(A_n)$ .

A complete Boolean algebra that carries a strictly positive continuous submeasure is called a *submeasure algebra*.

A forcing notion is *bounding* (or *weakly distributive*) if every element of  $\omega^\omega$  in the extension is dominated by a ground-model function in  $\omega^\omega$ . We use the words “bounding” and “weakly distributive” interchangeably. It is entirely irrelevant which uncountable Polish space the ideals in question measure; our choice is the Cantor space  $2^\omega$  for definiteness and ease of notation. To weed out trivial cases, we assume that ideals contain all singletons.

## 1 The proof of Theorem 0.1

If  $I$  is the ideal of null sets of some continuous submeasure then  $P_I$  is weakly distributive (see e.g., [5, 392I]). Suppose now that  $I$  is a definable, weakly distributive c.c.c.  $\sigma$ -ideal on Borel subsets of  $2^\omega$ . To find a continuous submeasure generating  $I$  we will use two ingredients. One is almost trivial:

**Fact 1.1 ([28] Lemma 2.2.3).** *Suppose  $I$  is a  $\sigma$ -ideal on  $2^\omega$  such that  $P_I$  is proper. The following are equivalent:*

- $P_I$  is weakly distributive
- compact sets are dense (every  $I$ -positive Borel set has an  $I$ -positive compact subset) and  $P_I$  allows continuous reading of names (for every  $I$ -positive Borel set  $B$  and a Borel function  $f : B \rightarrow \omega^\omega$  there is an  $I$ -positive set  $C \subset B$  such that  $f \upharpoonright C$  is continuous).

This implies that  $I$  has a basis consisting of  $G_\delta$  sets. For let  $A \in I$  be a Borel set. The collection of compact  $I$ -positive sets disjoint from  $A$  is dense in  $P_I$ : for every  $I$ -positive Borel set  $B$ , the set  $B \setminus A$  is still Borel and  $I$ -positive and therefore it has a compact  $I$ -positive subset. Choose then a maximal antichain  $X$  consisting of such compact sets. Since  $P_I$  is c.c.c.  $X$  is countable and  $2^\omega \setminus \bigcup X$  is a  $G_\delta$  set in  $I$  covering the set  $A$ .

The other ingredient is a result of Solecki. For an ideal  $I$  on  $2^\omega$  let  $\hat{I}$  be the collection of subsets of  $2^{<\omega}$  defined by putting  $a \in \hat{I}$  if the set  $B_a = \{r \in 2^\omega : \text{for infinitely many } n, r \upharpoonright n \in a\}$  is in  $I$ . It is immediate that  $\hat{I}$  is an ideal, because  $B_{a \cup b} = B_a \cup B_b$  and so if both  $B_a, B_b \subset 2^\omega$  are in the  $\sigma$ -ideal  $I$ , so is  $B_{a \cup b}$ .

**Fact 1.2.** *Suppose  $I$  is a  $\sigma$ -ideal on Borel subsets of  $2^\omega$  that is analytic on  $G_\delta$ . The following are equivalent:*

- $\hat{I}$  is a  $P$ -ideal and  $I$  has a basis consisting of  $G_\delta$  sets
- There is a continuous submeasure on  $2^\omega$  such that  $I$  is the collection of its null sets.

Furthermore, large cardinals imply this equivalence for every definable  $I$ .

*Proof.* This was proved in [22, Theorem 5.2] in the case when  $I$  is analytic on  $G_\delta$ . The definability assumption was used in this proof only to show that  $\hat{I}$  is analytic. Assuming large cardinals, in [23, Theorem 4] it was proved that all definable  $P$ -ideals are analytic.  $\square$

Fact 1.2 clearly implies that we will be done once we prove  $\hat{I}$  is a  $P$ -ideal. We fix a collection  $\{a_n : n \in \omega\} \subset \hat{I}$  and aim to construct  $b \in \hat{I}$  which includes each of them up to a finite set.

**Claim 1.3.** *The collection of compact  $I$ -positive sets  $C$  such that their associated tree on  $2^{<\omega}$  has a finite intersection with each  $a_n$  ( $n \in \omega$ ) is dense in  $P_I$ .*

*Proof.* Suppose  $A \in P_I$  is a positive Borel set. Then  $B = A \setminus \bigcup_n B_{a_n}$  is still an  $I$ -positive Borel set, and the function  $f : B \rightarrow \omega^\omega$ ,  $f(r)(n) = \max\{m \in \omega : r \upharpoonright m \in a_n\}$ , is Borel and well-defined on it. By Fact 1.1, there is an  $I$ -positive compact set  $C \subset B$  such that  $f \upharpoonright C$  is continuous. By a compactness argument, for every  $n$  the set  $\{f(r)(n) : r \in C\}$  is finite. The claim follows.  $\square$

Let  $X$  be a maximal antichain of  $I$ -positive compact sets from the claim. Since  $P_I$  is c.c.c.,  $X$  is countable. Let  $X = \{C_k : k \in \omega\}$  and let  $T_k \subset 2^{<\omega}$  be the tree associated with the compact set  $C_k$ . Finally, let  $b \subset 2^{<\omega}$  be the set  $\bigcup_n (a_n \setminus \bigcup_{k < n} T_k)$ . It is clear that  $b$  includes every  $a_n$  modulo finite. To show that  $B_b \in I$  and  $b \in \hat{I}$ , note that for every  $k \in \omega$  the intersection  $T_k \cap b = \bigcup_{n \leq k} a_n$  is finite, and so the set  $B_b$  is disjoint from  $\bigcup X$ . However, the antichain  $X \subset P_I$  was chosen to be maximal, and therefore the set  $2^\omega \setminus \bigcup X$  is  $I$ -small and so is its subset  $B_b$ . The theorem follows.

## 2 Fubini failing

A submeasure  $\phi$  is *pathological* if it does not dominate a positive nonzero finitely additive functional. A *control measure* for a continuous submeasure  $\phi$  is a measure  $\mu$  that has the same null sets as  $\phi$ . A continuous submeasure is *Borel* if it is defined on the Borel algebra on  $2^\omega$ . A submeasure is *diffuse* if all countable sets are null. All results of this section are probably well-known.

**Lemma 2.1.** *The following are equivalent for a diffuse continuous Borel submeasure  $\phi$ .*

1.  $\phi$  is pathological.
2. There is a  $\phi$ -positive set  $B$  such that the restriction of  $\phi$  to  $B$  has a control measure.
3. There is a  $\phi$ -positive set  $B$  such that  $P_{\text{Null}(\phi)}$  is forcing equivalent to random below  $B$ .

*Proof.* Let us write  $I = \text{Null}(\phi)$ . Assume (1), so there is a nonzero finitely additive functional  $\nu \leq \phi$  dominated by  $\phi$ . There are two cases.

Assume there is a  $\phi$ -positive set  $B$  such that  $\nu(C) \neq 0$  for every  $I$ -positive set  $C \subset B$ . Then Borel/ $I$  is weakly distributive (see e.g., [5, 392I]). By [5, 391D] there is a strictly positive measure on Borel/ $I$ , and therefore (2) holds.

Otherwise, every  $\phi$ -positive set  $B$  contains a  $\phi$ -positive set  $C$  such that  $\nu(C) = 0$ . In this case, choose a maximal antichain  $\{C_n : n \in \omega\}$  of sets such that  $\phi(C_n) > 0$  and  $\nu(C_n) = 0$ , enumerated using the ccc of Borel/ $I$ . Consider the sets  $D_m = \bigcup_{n>m} C_n$ . By the finite additivity of the functional  $\nu$  it is the case that  $\nu(D_m) = \nu(2^\omega)$  for all  $m \in \omega$ . By the continuity of the submeasure  $\phi$ , the numbers  $\phi(D_m)$  converge to zero, since the  $D_m$ s form a decreasing collection of sets with empty intersection. This contradicts  $\nu \leq \phi$ .

Clause (2) implies (1) by [6, Theorem 2]. The equivalence of (2) and (3) follows by the separable case of Maharam's theorem.  $\square$

**Lemma 2.2.** *If  $\phi$  is a continuous Borel submeasure then there is a Borel set  $A$  such that  $\phi$  has a control measure on  $B$  and is pathological on  $B^c$ .*

*Proof.* Find a maximal family  $\mathcal{F}$  of pairwise orthogonal measures dominated by  $\phi$ , and let  $B$  be the union of their supports. By the ccc-ness of Borel/Null( $\phi$ ),  $\mathcal{F}$  is countable. If  $\mathcal{F} = \{\mu_i | i \in \omega\}$  then  $\sum_i 2^{-i} \mu_i$  is a control measure for  $\phi$  on  $B$ . By Lemma 2.1,  $\phi$  is pathological on the complement of  $B$ .  $\square$

Lemma 2.3 below was roughly proved by Christensen [4, Theorem 6]. We shall use his result. Let  $\mu$  denote the Lebesgue measure on  $[0, 1]$ ; the choice is immaterial as any other diffuse Borel probability measure would do.

**Lemma 2.3.** *Suppose  $I$  is the null ideal for some continuous Borel submeasure  $\phi$  on  $2^\omega$ . Exactly one of the following holds:*

1. There is a  $\phi$ -positive Borel set  $B$  such that the restriction of  $\phi$  to  $B$  has a control measure.
2. There is a Borel set  $C \subseteq [0, 1] \times 2^\omega$  such that  $\phi(C_x) = 0$  for all  $x \in [0, 1]$  and  $\mu([0, 1] \setminus C^y) = 0$  for every  $y \in 2^\omega$ .

*Proof.* By Fubini's theorem, (1) excludes (2). Suppose now that (1) fails. By Lemma 2.1,  $\phi$  is pathological. Christensen proved in [4, Theorem 6], Theorem 6 that if  $\phi$  is pathological then (2) holds.  $\square$

A submeasure  $\phi$  on  $2^\omega$  is *normalized* if  $\phi(2^\omega) = 1$ .

**Lemma 2.4.** *Assume  $\psi$  is a normalized pathological Borel submeasure. Then for every  $n \in \mathbb{N}$  there are pairwise disjoint sets  $A_i$  ( $i < n$ ) of submeasure at least  $1/3$  each.*

*Proof.* This was proved by Kalton and Roberts ([10]) for an unspecified  $\varepsilon > 0$  in place of  $1/3$ , and sharpened by Louveau ([15]) to the present form.  $\square$

**Lemma 2.5.** *Assume  $\phi$  and  $\psi$  are normalized diffuse continuous Borel submeasures on  $2^\omega$  and  $\psi$  is pathological. Then there is a Borel set  $C \subseteq 2^\omega \times 2^\omega$  such that  $\psi(C_x) \geq 1/3$  for all  $x \in 2^\omega$  and  $\phi(C^y) = 0$  for all  $y \in 2^\omega$ .*

*Proof.* Since  $\phi$  is diffuse and continuous, every set of submeasure  $\delta$  has a subset of submeasure  $\epsilon$  for every  $\epsilon \in [0, \delta]$ . For each  $n$  fix a maximal antichain of Borel sets such that the submeasure of each one is between  $2^{-n-1}$  and  $2^{-n}$ . Since  $\phi$  is continuous, this antichain is finite and we can enumerate it as  $B_i^n$  ( $i < k_n$ ). Using Lemma 2.4, fix a partition of  $2^\omega$  into Borel sets  $A_i^n$  ( $i < k_n$ ) such that  $\psi(A_i^n) \geq 1/3$  for all  $n$  and  $i$ . Let

$$C(n) = \bigcup_{i=0}^{k_n-1} B_i^n \times A_i^n \quad \text{and} \quad C = \bigcap_{m=0}^{\infty} \bigcup_{n=m}^{\infty} C(n).$$

Note that  $\psi(C(n)_x) \geq 1/3$  and that  $\phi(C(n)^y) \leq 2^{-n}$  for all  $x, y$  in  $2^\omega$ . Therefore for all  $x, y$  we have  $\psi(C_x) \geq 1/3$  and  $\phi(C^y) \leq \sum_{n=m}^{\infty} 2^{-n} = 2^{-m+1}$  for all  $m$ , hence  $\phi(C^y) = 0$ .  $\square$

**Lemma 2.6.** *Assume  $\phi$  and  $\psi$  are diffuse Borel continuous submeasures and  $\phi$  does not have a control measure. Then there is a Borel set  $A \subseteq 2^\omega \times 2^\omega$  such that  $\psi(A_x) = 0$  for all  $x$  and  $\inf_y \phi(A^y) > 0$ .*

*Proof.* Let  $A$  be a Borel set such that the restriction of  $\phi$  to  $D^{\mathbb{G}}$  has a control measure while the restriction of  $\phi$  to  $D$  is pathological, as given by Lemma 2.2. By our assumption,  $\phi(D) > 0$ . Again using Lemma 2.2, find a Borel partition  $2^\omega = B \cup C$  so that  $\psi$  has a control measure on  $B$  and is pathological on  $C$ . By Lemma 2.3 there is Borel  $E \subseteq D \times B$  such that  $\phi(E^y) = \phi(D)$  for all  $y \in B$  and  $\psi(E_x) = 0$  for all  $x$ . By Lemma 2.5 there is a Borel  $F \subseteq D \times C$  such that  $\phi(F^y) \geq \frac{1}{3}\phi(D)$  for all  $y \in C$  and  $\psi(F_x) = 0$  for all  $x$ . Then  $A = E \cup F$  is as required.  $\square$

### 3 Non-commutativity

Given  $\sigma$ -ideals  $I$  and  $J$  on the real line, let  $I \perp J$  be the statement that there is a Borel subset  $B$  of the plane such that all of its vertical sections are in the ideal  $J$  and all of the horizontal sections of the complement are in the ideal  $I$ . Thus  $I \perp J$  means that the Fubini theorem between  $I$  and  $J$  fails in a particularly violent manner. For example, if  $I = \mathbf{meager} \cap \mathbf{null}$  then  $(I, I)$  does

not have the Fubini property yet  $I \perp I$  does not hold either. If the  $\sigma$ -ideals  $I$  and  $J$  are definable and c.c.c. then  $I \perp J$  is easily seen to be equivalent to both  $P_I \Vdash 2^\omega \cap V \in \dot{J}$  and  $P_J \Vdash 2^\omega \cap V \in \dot{I}$  [28, 5.4.8].

Results of this section do not any require large cardinals if the ideals are assumed to be analytic on  $G_\delta$ . This is because in this case both the compatibility and the incompatibility relations of  $P_I$  are analytic, and therefore the result of [21] applies. Let us recall and prove Corollary 0.2.

**Corollary 3.1 (LC).** *Suppose  $I$  is a definable c.c.c.  $\sigma$ -ideal on  $2^\omega$ . Then exactly one of the following holds:*

1. *There is a Borel set  $B \subset 2^\omega \times 2^\omega$  with all vertical sections in  $I$  and all horizontal sections of full Haar measure.*
2. *There is a condition  $p \in P_I$  such that  $P_I$  below  $p$  is isomorphic to the random forcing.*

*Proof.* By Fubini's theorem, two clauses exclude each other. Assume that  $P_I$  is not isomorphic to the random algebra below any positive set  $B$ . By Theorem 0.1 and Lemma 2.3, we may assume  $P_I$  is not bounding, so by a result of Shelah ([21]) it adds a Cohen real. Let  $f: 2^\omega \rightarrow 2^\omega$  be a Borel function such that the preimages of meager sets are in  $I$ . Fix a Borel set  $B \subseteq 2^\omega \times 2^\omega$  whose all vertical sections are null and whose complements of horizontal sections are meager. Then the set  $D = \{(x, y) \mid (f(x), y) \in B\}$  witnesses that  $I \perp \mathbf{null}$ .  $\square$

We do not know whether  $\mathbf{Null}(\phi) \perp \mathbf{Null}(\psi)$  whenever  $\phi$  and  $\psi$  are continuous submeasures at least one of which is pathological.

Shelah [20] defined the notion of commutation for definable c.c.c.  $\sigma$ -ideals  $I, J$ : they commute if for all reals  $r, s$  in all generic extensions of  $V$ , the statement “ $r$  is  $V[s]$ -generic for  $P_I$  and  $s$  is  $V$ -generic for  $P_J$ ” is equivalent to “ $s$  is  $V[r]$ -generic for  $P_J$  and  $r$  is  $V$ -generic for  $P_I$ .” (Note that in this situation  $r$  is automatically  $V$ -generic for  $P_I$ , since it avoids all sets in  $I$  coded in  $V$ .) Shelah proved that the only ideal commuting with **meager** is **meager** itself. Corollary 3.1 can be formulated by saying that the only ideal commuting with **null** is **null** itself. In [20], Problem 11.5, Shelah asked whether the only Suslin forcings that commute with themselves are cohen and random. (A forcing notion  $\mathbb{P}$  is *suslin* if its underlying set is  $\mathbb{R}$  and both  $\leq_{\mathbb{P}}$  and  $\perp_{\mathbb{P}}$  are analytic subsets of the plane. If  $I$  is analytic on  $G_\delta$ , then  $P_I$  is easily Suslin.) By Theorem 3.3, the answer to this question restricted to definable forcings of the form  $P_I$  is positive.

Reclaw and Zakrzewski ([18]) say that a pair of ideals  $I, J$  has the *Fubini property* if for every Borel  $B \subseteq 2^\omega \times 2^\omega$  such that  $\{x \mid B_x \notin J\} = \emptyset$  we have  $\{y \mid B^y \notin I\} \in J$ . They have proved that in a certain restricted class of ccc  $\sigma$ -ideals of Borel sets (**meager, meager**) and (**null, null**) are the only pairs that have the Fubini property. They have also found a consistent example (using a large cardinal assumption) of a ccc  $\sigma$ -ideal  $I$  such that both  $(I, \mathbf{null})$  and  $(I, \mathbf{meager})$  have the Fubini property, and asked whether there are other ‘natural’ examples of pairs of ccc ideals with Fubini property. Theorem 3.3 gives a negative answer to their question restricted to the class of definable ideals.

In order to give unified treatment of ideals **meager** and **null** and the corresponding forcing notions Cohen and random, in [14, Definition 1.26] Kunen introduced the class of ‘reasonable’ ideals. Among other properties, every reasonable ideal is a *Fubini ideal* ([14, Definition 1.3]) and this implies that  $(I, I)$  has the Fubini property. Therefore by Theorem 3.3, **meager** and **null** are the only reasonable ideals that are analytic on  $G_\delta$ . The definition of reasonable also involves being absolute ([14, Definition 1.20]) and under large cardinals every absolute set of reals belongs to  $L(\mathbb{R})$  by [7, Theorem 3.2]. Therefore large cardinals imply that **meager** and **null** are the only reasonable ideals.

If the assumption that  $I$  is a Fubini ideal is dropped from the definition of a reasonable ideal then there are many ideals satisfying the weaker notion ([19]).

**Lemma 3.2.** *Suppose  $I$  and  $J$  are definable c.c.c.  $\sigma$ -ideals on  $2^\omega$ . Then the following are equivalent.*

1.  $P_I$  and  $P_J$  commute.
2. If  $B \subseteq 2^\omega \times 2^\omega$  is Borel then  $\{x|B_x \notin J\} \notin I$  implies  $\{y|B^y \notin I\} \neq \emptyset$ .
3. Pair  $J, I$  has the Fubini property.

*Proof.* Assume (2) fails and fix a Borel  $B$  such that  $\{x|B_x \notin J\} \notin I$  and  $C = \{y|B^y \notin I\} \in J$ . Let  $A = B \setminus 2^\omega \times C$ , and note that  $\{x|A_x \notin J\} \notin I$  and  $\{y|A^y \notin I\} = \emptyset$ . Let  $x$  be  $V$ -generic for  $P_I$  so that  $A_x \notin J$  and let  $y \in A_x$  be  $V[x]$ -generic for  $P_J$ . Since  $A^y \in I$  and  $x \in A^y$ ,  $x$  is not  $P_I$ -generic over  $V[y]$ .

Now assume (1) fails, and fix a countable transitive model  $M$  of a large enough fragment of ZFC containing definitions of  $I$  and  $J$ . Since  $\{x|x \text{ is } M\text{-generic for } P_I\}$  is equal to the complement of the union of all Borel sets coded in  $M$  that belong to  $I$ , it is Borel. Similarly, the set

$$A_{IJ} = \{(x, y)|x \text{ is } M\text{-generic for } P_I \text{ and } y \text{ is } M[x]\text{-generic for } P_J\}$$

is Borel, and  $B = A_{IJ} \setminus \{(x, y)|(y, x) \in A_{JI}\}$  is a Borel set consisting of all pairs  $(x, y)$  that fail the commutativity condition. This set is nonempty by our assumption, and it satisfies (2).

To see that (2) and (3) are equivalent, take the contrapositive of (2).  $\square$

**Theorem 3.3 (LC).** *Suppose  $I, J$  are definable c.c.c.  $\sigma$ -ideals on  $2^\omega$ . Then one of the following holds:*

1. Both  $P_I$  and  $P_J$  are isomorphic to the Cohen algebra.
2. Both  $P_I$  and  $P_J$  are isomorphic to the Lebesgue measure algebra.
3.  $P_I$  and  $P_J$  do not commute.

*In particular, if  $P_I$  of this kind commutes with itself, then it is isomorphic to either Cohen or random.*



By Fubini's and Kuratowski–Ulam theorems at most one of three statements holds. The rest of the proof of Theorem 3.3 breaks into several cases according to whether the posets  $P_I, P_J$  are bounding or not, with wildly different arguments in each case.

**Lemma 3.4 (LC).** *Suppose  $I, J$  are definable c.c.c.  $\sigma$ -ideals on  $2^\omega$  such that both forcings  $P_I$  and  $P_J$  add an unbounded real. Exactly one of the following holds:*

- *there are Borel  $I$ -positive set  $B$  and a Borel  $J$ -positive set  $C$  such that both  $P_I$  below  $B$  and  $P_J$  below  $C$  are isomorphic to the Cohen algebra*
- $I \perp J$

*Proof.* There is nothing really new here. By the Kuratowski–Ulam theorem the first item implies the failure of  $I \perp J$ . On the other hand, suppose that the first item fails. Then one of the partial orders,  $P_I$  say, is not isomorphic to the Cohen algebra below any condition. By [20] 9.16 or [27] 6.6,  $P_I \Vdash 2^\omega \cap V$  is meager, so  $I \perp \text{meager}$  and there is a Borel set  $E \subset 2^\omega \times 2^\omega$  such that its vertical sections are meager and the horizontal sections of its complement are  $I$ -small. By [21], 1.14,  $P_J$  adds a Cohen real over  $V$  and so there is a Borel function  $f : 2^\omega \rightarrow 2^\omega$  such that preimages of meager sets are  $J$ -small. It is not difficult to verify that the Borel set  $D \subset 2^\omega \times 2^\omega$  defined by  $\langle x, y \rangle \in D$  if and only if  $\langle x, f(y) \rangle \in E$  witnesses  $I \perp J$ . The lemma follows.  $\square$

**Lemma 3.5 (LC).** *Suppose  $I, J$  are definable c.c.c.  $\sigma$ -ideals such that both forcings  $P_I$  and  $P_J$  are bounding. If  $P_I$  is not equivalent to random, then there is a Borel  $B \subseteq 2^\omega \times 2^\omega$  such that  $B_x \in J$  for all  $x$  and  $B^y \notin I$  for all  $y$ .*

*Proof.* By Theorem 0.1, both  $I$  and  $J$  are null ideals for some continuous submeasures  $\phi$  and  $\psi$ , respectively. By Lemma 2.1,  $\phi$  does not have a control measure. Therefore we are in the situation of Lemma 2.6.  $\square$

**Lemma 3.6 (LC).** *Suppose that  $I, J$  are definable c.c.c.  $\sigma$ -ideals on  $2^\omega$  such that  $P_I$  is bounding while  $P_J$  adds an unbounded real. Then  $I \perp J$ .*

*Proof.* By Theorem 0.1, there is a continuous submeasure  $\phi$  such that  $I$  is the null ideal for  $\phi$ . We will first prove that  $I \perp \text{meager}$ . For  $s \in 2^n$  let  $[s] = \{x \in 2^\omega \mid x \upharpoonright n = s\}$ .

**Claim 3.7.** *If  $\phi$  is a continuous submeasure on the Borel algebra of  $2^\omega$ , then for every  $\varepsilon > 0$  there is  $m_\varepsilon \in \mathbb{N}$  such that  $\phi([s]) \leq \varepsilon$  for every  $s \in 2^{m_\varepsilon}$ .*

*Proof.* Assume not, and find  $s_m \in 2^{m_\varepsilon}$  such that  $\phi([s_m]) \geq \varepsilon$  for all  $m$ . Assume for a moment there is an infinite set  $B \subseteq \omega$  such that  $[s_m]$  ( $m \in B$ ) are pairwise disjoint. In this case the open sets  $U_n = \bigcup \{[s_m] \mid m \geq n, n \in B\}$  have all submeasure at least  $\varepsilon$  and they are decreasing with empty intersection. Since  $\phi$  is a continuous submeasure, this is impossible.

If there is no such  $B$ , by Ramsey's theorem there is an infinite set  $D$  such that  $[s_m]$  ( $m \in D$ ) form a decreasing chain. The intersection  $\bigcap_{m \in D} [s_m]$  is a

singleton,  $\{x\}$ , and again by the continuity of the submeasure,  $\phi(\{x\}) \geq \varepsilon$ . Thus  $\{x\} \notin I$ , contradiction.  $\square$

Let  $f(n) = m_{2^{-n}}$  as given by Claim 3.7. Interpret the Cohen forcing as adding a function  $g \in \prod_n 2^{f(n)}$  with finite conditions. Let  $D_m = \bigcup_{n>m} [g(n)]$ . It is not difficult to see that  $V \cap 2^\omega \subset D_m$  for every number  $m \in \omega$  and the submeasures  $\phi(D_m)$  converge to zero. Therefore  $\bigcap_m D_m$  is a submeasure zero set containing all the ground model reals.

To show  $I \perp J$  note that by a result of Shelah [21] the poset  $P_J$  adds a Cohen real. The argument is concluded in a manner similar to Lemma 3.4.  $\square$

*Proof of Theorem 3.3.* Let  $I, J$  be definable c.c.c.  $\sigma$ -ideals, and suppose that the first two alternatives in the Theorem fail. Use the c.c.c. to find partitions  $2^\omega = B_0 \cup B_1$  and  $2^\omega = C_0 \cup C_1$  into Borel sets such that  $P_I$  below  $B_0$  and  $P_J$  below  $C_0$  are bounding forcings while the posets  $P_I$  below  $B_1$  and  $P_J$  below  $C_1$  add an unbounded real. Pick  $i, j$  such that  $B_i \notin I$  and  $C_j \notin J$ . If  $i = j$  we may assure that if  $P_I$  is **meager** (**null**, respectively) below  $B_i$  then  $P_J$  is not **meager** (**null**, respectively) below  $C_j$ . In either case, by one of lemmas 3.4, 3.5 or 3.6 we are in the situation of Lemma 3.2.  $\square$

## 4 Concluding remarks

Another corollary of Theorem 0.1 precisely determines the extent of ccc-ness of a weakly distributive definable forcing  $P_I$ . Recall that a subset  $F$  of a poset  $\mathbb{P}$  is  $n$ -linked if every  $n$ -element subset of  $F$  has a lower bound, and that  $\mathbb{P}$  is  $\sigma$ - $n$ -linked if it can be covered by countably many  $n$ -linked sets. An  $F \subseteq \mathbb{P}$  is centered if every finite subset of  $F$  has a lower bound, and  $\mathbb{P}$  is  $\sigma$ -centered if it can be covered by countably many centered subsets. It is well-known that all these chain conditions are different. Also, by a result of Todorćević ([25], see also [3, 3.6.C]), there is a Borel ccc poset that is not  $\sigma$ -2-linked.

**Corollary 4.1 (LC).** *If  $I$  is a  $\sigma$ -ideal of Borel sets and  $P_I$  is weakly distributive, then the following hold.*

1.  $P_I$  is not  $\sigma$ -centered.
2. If  $I$  is moreover definable, then  $P_I$  is ccc if and only if it is  $\sigma$ - $n$ -linked for all  $n$ .

*Proof.* Assume  $\mathcal{B}$  is  $\sigma$ -centered and fix centered sets  $X_n$  maximal under the inclusion whose union covers  $\mathcal{B}$ . Since by Fact 1.1 every positive set has a compact subset the intersection of each  $X_n$  is a singleton. This implies that a co-countable set belongs to  $I$ , a contradiction.

For 2, by Theorem 0.1 it suffices to prove a well-known fact that if  $\phi$  is a continuous submeasure on Borel algebra of  $2^\omega$  and  $\text{Null}(\phi)$  contains all countable sets, then the quotient algebra is  $\sigma$ - $n$ -linked for all  $n$  (this is [5, Exercise 393Y(a)]). Recall first that it is completely generated by its countable subalgebra  $\mathcal{B}_0$  given by the name for the  $P_I$ -generic real. Now consider the metric on  $\mathcal{B}$

defined by  $\rho(A, B) = \phi(A \Delta B)$ . It is not difficult to check that  $(\mathcal{B}, \rho)$  is a complete metric space, and as an easy consequence of [5, 393B (c)], it is isomorphic to the completion of  $(\mathcal{B}_0, \rho)$ , and in particular separable. For  $A \in \mathcal{B}_0$  the set

$$F_A = \{C \mid \rho(A, C) < \rho(0_{\mathcal{B}}, A)/n\}.$$

is  $n$ -linked, and  $\bigcup_{A \in \mathcal{B}_0} F_A$  covers  $\mathcal{B}$ . □

We conclude with a question asked by Solecki (personal communication).

**Question 4.2.** Are the following equivalent for every c.c.c.  $\sigma$ -ideal  $I$  on Borel subsets of  $2^\omega$  that is analytic on  $G_\delta$ ?

1. Compact sets are dense in  $P_I$  and  $I$  is ccc.
2.  $I$  is the null ideal of some continuous submeasure.

If the answer is positive, this would strengthen Theorem 0.1 and nicely complement a result of [13] where it was proved that every ccc  $\sigma$ -ideal  $\sigma$ -generated by compact sets is Borel-isomorphic to **meager**.

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