

# *On $k$ -groups and Tychonoff $k_R$ -spaces*

*(Category theory for topologists, topology for group theorists,  
and group theory for categorical topologists)*

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$\mathcal{H}(G, L)$  is the space of continuous homomorphisms between the groups  $G$  and  $L$ , equipped with the compact-open topology.

If  $A$  is abelian, one puts  $\hat{A} = \mathcal{H}(A, \mathbb{T})$ , where  $\mathbb{T}$  is the unit circle;  $\hat{A}$  is a topological group again. Important questions:

— What is the relationship between  $A$  and  $\hat{A}$ ?

— What is the relationship between  $A$  and  $\hat{\hat{A}}$ ?

— Is  $\mathcal{H}(A, \mathbb{T})$  the “right” dual object?

Every  $g \in A$  gives rise to a (continuous) character  $\omega_g$  of  $\hat{A}$ , by evaluation  $\omega_g(\zeta) = \zeta(g)$ . This defines an algebraic homomorphism  $\omega_A: A \longrightarrow \hat{\hat{A}}$  ( $g \longmapsto \omega_g$ ); it is natural in  $A$ , but it is **not necessarily continuous!**

$A$  is ***Pontryagin-reflexive*** if  $\omega_A$  is an isomorphism of topological groups.

When  $A$  is LCA (locally compact and abelian), then the famous Pontryagin duality holds:

- $\omega_A$  is an isomorphism of topological groups;
- Compact groups have discrete duals, and vice versa;
- If  $B \leq A$  is a closed subgroup, then  $\hat{B} = \hat{A}/B^\perp$  and  $\widehat{A/B} = B^\perp$ , where  $B^\perp$  is the annihilator of  $B$  in  $\hat{A}$ ;
- For  $c(A)$  the connected component of  $A$ , and  $B(A)$  the subgroup of elements  $g$  such that  $\overline{\langle g \rangle}$  is compact,  $\widehat{c(A)} = \hat{A}/B(\hat{A})$  and  $\widehat{B(A)} = \hat{A}/c(\hat{A})$ .

**Trouble:** Infinite products of LCA groups are not LC anymore.

Recall that a category  $\mathcal{C}$  is *cartesian closed* if for every  $a \in \mathcal{C}$ , the functor  $a \times - : \mathcal{C} \longrightarrow \mathcal{C}$  has a right adjoint (which we denote by  $[a, -]$ ).

One approach to overcoming the problem of the continuity of  $\omega_A$  is working in a (complete) cartesian closed category  $\mathcal{C}$ . It can be immediately seen that in such categories, for every  $x, y \in \mathcal{C}$ , the natural map  $x \rightarrow [[x, y], y]$  given by “evaluation at  $x$ ” is a morphism.

If  $a$  and  $b$  are abelian group objects in  $\mathcal{C}$ , then it is possible to define the internal group homomorphism-functor  $\{a, b\}$  (which is going to be an abelian group object again!), and one obtains that  $a \rightarrow \{\{a, b\}, b\}$  is a morphism of group objects in  $\mathcal{C}$ .

Another interesting feature one gets “for free” from cartesian closure is that if  $d$  is a fixed abelian group object (that we think of as the “dualizing” object), then  $\{a, \{b, d\}\} \longleftarrow \{b, \{a, d\}\}$  is an isomorphism in  $\mathcal{C}$  (natural in  $a$  and  $b$ ); in other words, **we get a dual adjunction for free.**

### Candidate I: $k$ -spaces

A *test function* for  $X \in \text{Top}$  is a continuous map  $t: K \rightarrow X$  with  $K$  compact Hausdorff. A map  $f: X \rightarrow Y$  is  *$k$ -continuous* if for every test function  $t$  for  $X$ ,  $f \circ t$  is continuous. (When  $X$  is Hausdorff, it suffices to require that  $f|_K$  is continuous for every compact subset  $K$  of  $X$ .)  $X$  is a  *$k$ -space* if every  $k$ -continuous map of  $X$  is continuous. Basic properties of  $k\text{Top}$  and  $k\text{Haus}$  (due to Vogt and Brown, respectively):

- $k\text{Top}$  and  $k\text{Haus}$  are complete, cocomplete, and cartesian closed;
- $k\text{Top}$  and  $k\text{Haus}$  are coreflective in  $\text{Top}$  and  $\text{Haus}$ , respectively (the coreflector is the  $k$ -ification,  $k$ );
- The product of  $X, Y$  in  $k\text{Top}$  or  $k\text{Haus}$  is given by  $k(X \times Y)$ ;
- Internal hom-functor is given by the coreflection  $k\mathcal{C}(X, Y)$  of the compact-open topology.

Abelian group objects in  $k\text{Haus}$  behave very nicely in terms of  $\omega_A$  (in fact, the continuity of  $\omega_A$  for LCA groups can be deduced from here).

**Drawbacks:**

1. The addition is only  $k$ -continuous, and it is not necessarily continuous in both variables;
2. As a result, group objects in  $k\text{Haus}$  need not be Tychonoff;
3. If  $B$  is a closed subgroup of  $A$ ,  $A/B$  need not be Hausdorff!

Recall that a space  $X$  is *weakly Hausdorff* ( $t_2$ -space) if for every test function  $t$  for  $X$ , the image of  $t \times t$  is closed in  $X \times X$ ; such spaces are clearly  $T_1$ . Although by switching to the category of weakly Hausdorff  $k$ -spaces, as Lamartin (1977) did, difficulty no. 3 can be eliminated, the two other troubles nevertheless remain.

**Another problem:**  $\mathbb{T}$  can capture only the Hausdorff part.

**Remark.** The success of Dubuc and Porta (1971) in describing topological algebras in the category  $k\text{Haus}$  (i.e., the operations are  $k$ -continuous) was due to a dual adjunction similar to what is described above. Often the source of such dual adjunctions is cartesian closure.

The incompatibility of  $k$ -spaces with the Tychonoff property is worse than one would imagine:

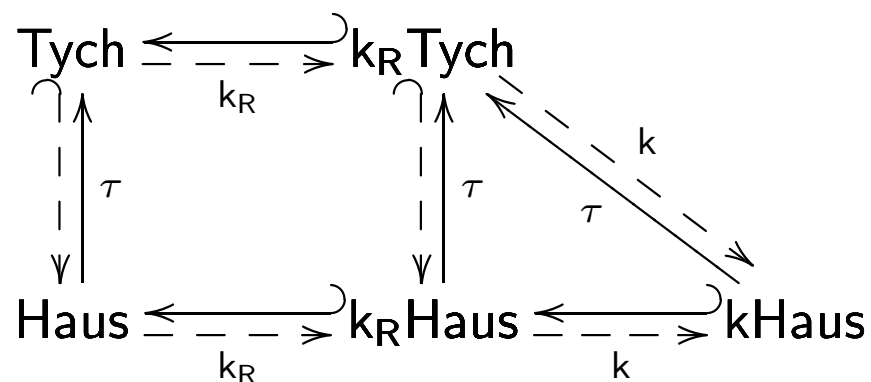
**Example(s).** For topological spaces  $X$  and  $Y$ , put  $\mathcal{P}$  for the topology of separate continuity on  $X \times Y$ , and set  $\mathcal{Q}$  to be the cross-topology:  $V \in \mathcal{Q}$  if the intersection of  $V$  with every fiber  $\{x\} \times Y$  and  $X \times \{y\}$  is an open subset of the fiber.

Henriksen and Woods (1999) proved that  $k(X \times Y, \mathcal{P}) = (X \times Y, \mathcal{Q})$  and  $\tau(X \times Y, \mathcal{Q}) = (X \times Y, \mathcal{P})$  for every Tychonoff  $k$ -space  $X$  and  $Y$ . (Here  $\tau: \text{Top} \rightarrow \text{Tych}$  is the Tychonoff reflection.)

### Candidate II: $k_R$ -spaces

$X$  is a  $k_R$ -space if every  $k$ -continuous function from  $X$  to a Tychonoff space is continuous. The  $k_R$ -ification  $k_RX$  always exists. **Features (GL):**

- If  $X$  is a  $k_R$ -space, then so is  $\tau X$ ; if  $X$  is Tychonoff, then so is  $k_RX$ ;
- $k_R\text{Haus}$  is coreflective in  $\text{Haus}$ ;  $k_RTych$  is coreflective in  $\text{Tych}$ ;
- $k_RTych$  is reflective in  $k_R\text{Haus}$ ;



(The dashed arrows are right adjoints.)

- $k_R\text{Tych}$  is equivalent to a proper epireflective subcategory of  $k\text{Haus}$ ;
- $k_R\text{Tych}$  is cartesian closed, and the internal hom-functor is  $k_R\mathcal{C}(X, Y)$ ;
- If  $P$  is a Tychonoff space that contains a path (i.e., a homeomorphic image of  $\mathbb{I}$ ), then  $\eta_X : X \rightarrow k_R\mathcal{C}(k_R\mathcal{C}(X, P), P)$  is an embedding.

**Pros and cons:**

1. Nice dual adjunction (+);
2. The trouble with forming quotients remains (-);
3. Tychonoff  $k_R$ -spaces turn out to be useful (+).

### Candidate III: Convergence groups & Binz-Butzmann duality

A *convergence space* is a set  $X$  together with a relation  $\rightarrow$  between filters on  $X$  and points of  $X$  such that:

(Conv1)  $\mathcal{F} \rightarrow x$  and  $\mathcal{G} \rightarrow x \implies \mathcal{F} \wedge \mathcal{G} \rightarrow x$ ;

(Conv2)  $\mathcal{F} \rightarrow x$  and  $\mathcal{F} \leq \mathcal{G} \implies \mathcal{G} \rightarrow x$ ;

(Conv3)  $\dot{x} \rightarrow x$ , where  $\dot{x} = \{A \subset X \mid x \in A\}$ .

**Remark.** Every convergence structure on  $X$  defines also a topology, but the convergence with respect to that topology need not be the same as the original convergence.

Conv is cartesian closed, and the internal hom-functor is given by the *continuous convergence structure*: It is the coarsest convergence structure that makes the evaluation map  $e : \text{Conv}(X, Y) \times X \rightarrow Y$  continuous:  
 $\mathcal{F} \rightarrow \zeta \in \text{Conv}(X, Y)$  if  $e(\mathcal{F} \times \mathcal{H}) \rightarrow \zeta(x)$  for every filter  $\mathcal{H} \rightarrow x \in X$ .

A **convergence group** is a group object in the category of convergence spaces and their continuous maps (Conv).

If  $A$  is an abelian convergence group, one puts  $\Gamma_c A$  to be subspace of  $\text{Conv}(A, \mathbb{T})$  consisting of the homomorphisms.

$A$  is *BB-reflexive* if the continuous homomorphism  $\kappa_A: A \rightarrow \Gamma_c \Gamma_c A$  is an isomorphism of convergence groups. **Main features** (due to Butzmann):

- If  $\{A_i\}_{i \in I}$  are *BB-reflexive*, then so are  $\prod_{i \in I} A_i$  and  $\bigoplus_{i \in I} A_i$ ;
- If  $A$  is a topological group, then  $\Gamma_c A$  is locally compact (as a convergence group), and  $\Gamma_c \Gamma_c A$  is a topological group again;
- $\kappa_A$  is an embedding if and only if  $A$  is “locally quasi-convex”;
- If  $\omega_A$  is continuous, then  $\hat{\hat{A}} \subseteq \Gamma_c \Gamma_c A$ ; in this special case, *BB-reflexivity* implies *P-reflexivity* (Chasco & Martín-Peinador).

**$k$ -groups (of Noble)**

A group  $G$  is a  **$k$ -group** if every  $k$ -continuous homomorphism  $\varphi: G \rightarrow H$  is continuous. **Features:**

- $k\text{Grp}$  is a coreflective subcategory of  $\text{Grp}(\text{Haus})$ , with coreflector  $k_G$ ;
- Quotients of  $k$ -groups are  $k$ -groups;
- If  $H$  is an open subgroup of  $G$ , then  $H$  is a  $k$ -group iff  $G$  is so;
- If  $\{G_i\}_{i \in I}$  is a family of  $k$ -groups, then  $\prod_{i \in I} G_i$ ,  $\bigoplus_{i \in I} G_i$ ,  $\bigoplus_{i \in I}^{\Sigma} G_i$ , and  $\sum_{i \in I} G_i$  are  $k$ -groups [ $\sum_{i \in I} G_i$  is  $\bigoplus_{i \in I} G_i$  equipped with the final topology];
- Groups that are  $k$ -spaces are also  $k$ -groups; in particular, LC and metrizable groups are  $k$ -groups;
- An arbitrary product  $\prod_{i \in I} M_i$  of metrizable groups is a  $k$ -group.

**Examples and remarks:**

1. Let  $H$  be a non-LC  $k$ -group such that  $\mathcal{C}(H, \mathbb{R})$  is metrizable, and hence a  $k$ -group (Warner, 1958). Put  $G = H \times \mathcal{C}(H, \mathbb{R})$ ;  $G$  is a  $k$ -group.

The evaluation  $e: H \times \mathcal{C}(H, \mathbb{R}) \longrightarrow \mathbb{R}$  is  $k$ -continuous, but it can be continuous only if  $H$  is LC (Arens, 1946). Hence,  $G$  is not a  $k_R$ -space.

2. A group  $G$  admits a *quasi-invariant basis* if for every nbhd  $U$  of  $e$  there exists a countable family  $\mathcal{V}$  of nbhds of  $e$  such that for any  $g \in G$  there exists  $V \in \mathcal{V}$  such that  $gVg^{-1} \subset U$ . Groups with this property are precisely the subgroups of products  $\prod_{i \in I} M_i$  of metrizable groups.

Since not every complete group admitting a quasi-invariant basis is a  $k$ -group, such groups provide a large number of examples for closed subgroups of  $k$ -groups that are not  $k$ -groups themselves.

**Examples and remarks (continued):**

3. The only compact subgroup of  $\mathbb{R}$  is the trivial one, and since  $\mathbb{R}$  has many non-continuous homomorphisms, this shows that  $k\text{Grp}$  is not the coreflective hull of the compact Hausdorff groups.

4.  $k\text{Grp}$  is the coreflective hull of the groups that are generated by a compact subset. In fact, it is also the coreflective hull of the class of free groups on compact Hausdorff spaces.

By “free group” on a Tychonoff space  $X$  we mean the group  $FX$ , where  $F: \text{Tych} \longrightarrow \text{Grp}(\text{Haus})$  is the left adjoint to the forgetful functor  $U: \text{Grp}(\text{Haus}) \longrightarrow \text{Tych}$ . We note that the unit  $\iota_X: X \rightarrow FX$  of this adjunction is a closed embedding for every  $X \in \text{Tych}$  and that  $FX$  is algebraically generated by  $X$ .

**Examples and remarks (continued):**

5. The projective limit of  $k$ -groups need not be a  $k$ -group:

Put  $A = \mathbb{Z}_2^{\oplus \omega_1}$  (only finite number of non-zero coordinates).

Set  $B_\alpha = \{(g_\beta) \mid g_\beta = 0 \text{ for } \beta < \alpha\}$  for  $\alpha < \omega_1$ .

Topologize  $A$  such that each  $B_\alpha$  is an open subgroup.

- $A$  is complete, with base  $\{B_\alpha\}$  at 0;
- Thus,  $A = \varprojlim A/B_\alpha$ ;
- Each  $A/B_\alpha$ , being countable and discrete, is a  $k$ -group;
- $A$  is a  $P$ -space ( $G_\delta$ -sets are open), so its compact subspaces are finite;
- Therefore,  $k_G A$  is discrete.

Since  $A$  is not discrete, this shows that  $A$  is not a  $k$ -group.

**$k_R$  Tych comes to the rescue:**

Example no. 5 shows that the forgetful functor  $U_0: k\text{Grp} \longrightarrow \text{Tych}$  does not preserve limits, because  $\varprojlim_{k\text{Grp}} A/B_\alpha = k_G A \neq A = \varprojlim A/B_\alpha$ . In particular, it is hopeless to construct a free  $k$ -group functor on  $\text{Tych}$ .

**For every Tychonoff  $k_R$ -space  $X$ , the free group  $F X$  is a  $k$ -group.**

Since the  $k_R$ -ification “absorbs”  $k_G$  in the sense that  $k_R k_G = k_R$ , our conclusion is that the “right” forgetful functor is  $k_R U_0: k\text{Grp} \longrightarrow k_R \text{Tych}$ .

Indeed, the restricted free group functor  $F_0: k_R \text{Tych} \longrightarrow k\text{Grp}$  has a right adjoint, namely  $k_R U_0$ .

**Remark.**  $k_R$  is an embedding of  $k\text{Grp}$  into  $\text{Grp}(k_R \text{Tych})$ . Furthermore,  $k_R \mathcal{H}(k_R G, k_R L) = k_R \mathcal{H}(G, L)$  for  $G, L \in k\text{Grp}$ .

**Abelian groups:**

Let  $A$  be an abelian  $k$ -group. Noble proved:

- $\omega_A$  is continuous;
  - $A$  is complete & admits a base of open subgroups  $\Rightarrow A$  is  $P$ -reflexive;
  - $A$  is an open subgroup of a  $P$ -reflexive  $k$ -group  $\Rightarrow A$  is  $P$ -reflexive;
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$A$  is a  $kk$ -group if every  $k$ -continuous homomorphism of  $A$  into a compact group is continuous. The next result is due to Deaconu.

Let  $\Sigma$  be a subgroup of  $\text{Hom}(A, \mathbb{T})$ . There exists an LCA topology  $\mathcal{T}$  on  $A$  such that  $\Sigma \cong \hat{G}$  (algebraically) if and only if both conditions are fulfilled:

- (i)  $\Sigma$  is dense in  $\text{Hom}(G, \mathbb{T})$  in the topology of pointwise-convergence;
- (ii)  $\Sigma$  is a  $kk$ -group and  $k\Sigma$  is LC.

**$k\mathbf{Ab}$  is monoidal closed:** Let  $A$ ,  $B$ , and  $C$  be abelian  $k$ -groups.

$$A \longrightarrow \mathcal{H}(B, C) \quad (1)$$

$$A \longrightarrow k_G \mathcal{H}(B, C) \quad (2)$$

$$k_R A \longrightarrow k_G \mathcal{H}(B, C) \quad (3)$$

$$k_R A \longrightarrow k_R \mathcal{H}(B, C) = k_R \mathcal{H}(k_R B, k_R C) \quad (4)$$

$$k_R(A \times B) \xrightarrow{\text{bil}} k_R C \quad (5)$$

$$k_R(A \times B) \xrightarrow{\text{bil}} C \quad (6)$$

$$F_0 k_R(A \times B) \xrightarrow{\text{bil}} C \quad (7)$$

$$F_0 k_R(A \times B) / R(A, B) \longrightarrow C \quad (8)$$

Here  $R(A, B)$  stands for the closed subgroup generated by the commutator and the usual bilinear relations.

Put  $A \otimes_k B = F_0 k_R(A \times B)/R(A, B)$ ; it is clearly an abelian  $k$ -group, and  $A \otimes_k - : k\text{Grp} \longrightarrow k\text{Grp}$  is the left adjoint to  $k_G \mathcal{H}(B, -) : k\text{Grp} \longrightarrow k\text{Grp}$ .

Since this definition is very inconvenient for computations, all computations have to be done using the right adjoints. In particular, that is the way to show that

- (i)  $\mathbb{Z}$  is the neutral object with respect to  $\otimes_k$ ;
- (ii)  $\otimes_k$  satisfies the “pentagon condition” (with correctly chosen maps).

For (ii), one uses the cartesian closure of  $k_R \text{Tych}$ .

Consequently,  $k_G \mathcal{H}(A \otimes_k B, C) \cong k_G \mathcal{H}(A, k_G \mathcal{H}(B, C))$ ; in particular  $k_G \widehat{A \otimes_k B} \cong k_G \mathcal{H}(A, k_G \hat{B}) \cong k_G \mathcal{H}(B, k_G \hat{A})$ . For  $\tilde{A} = k_G \hat{A}$ , it follows from the above that  $\gamma_A : A \rightarrow \tilde{A}$  is continuous. (Notice that  $\tilde{\tilde{A}} = k_G \hat{\hat{A}}$ .)

**Open questions:**

1. How to characterize the image  $U_0(\mathbf{kGrp})$  of  $U_0$  in  $\mathbf{Tych}$ ?
  2. Is  $\tilde{A}$  the “right” dual object for  $k$ -groups?
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**For gourmands:**

3. Is there a totally minimal  $h$ -complete group that is not a  $k$ -group?